

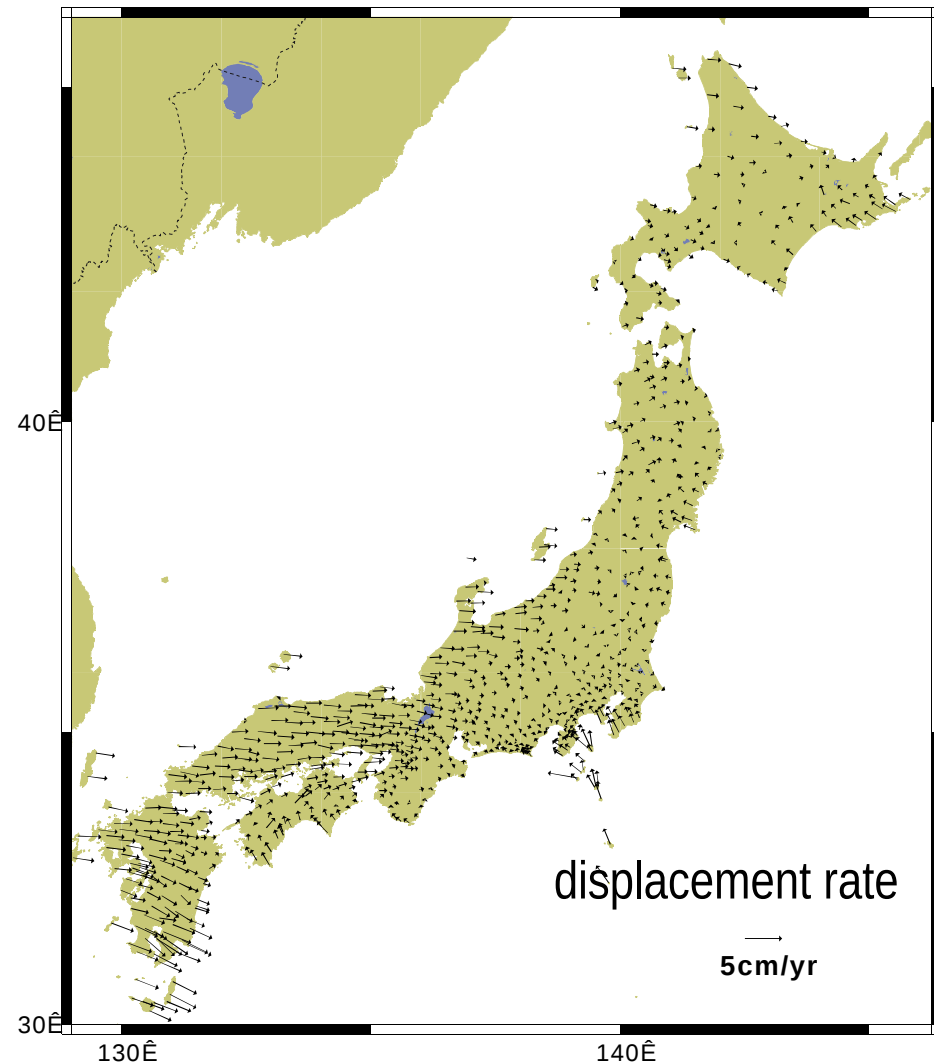
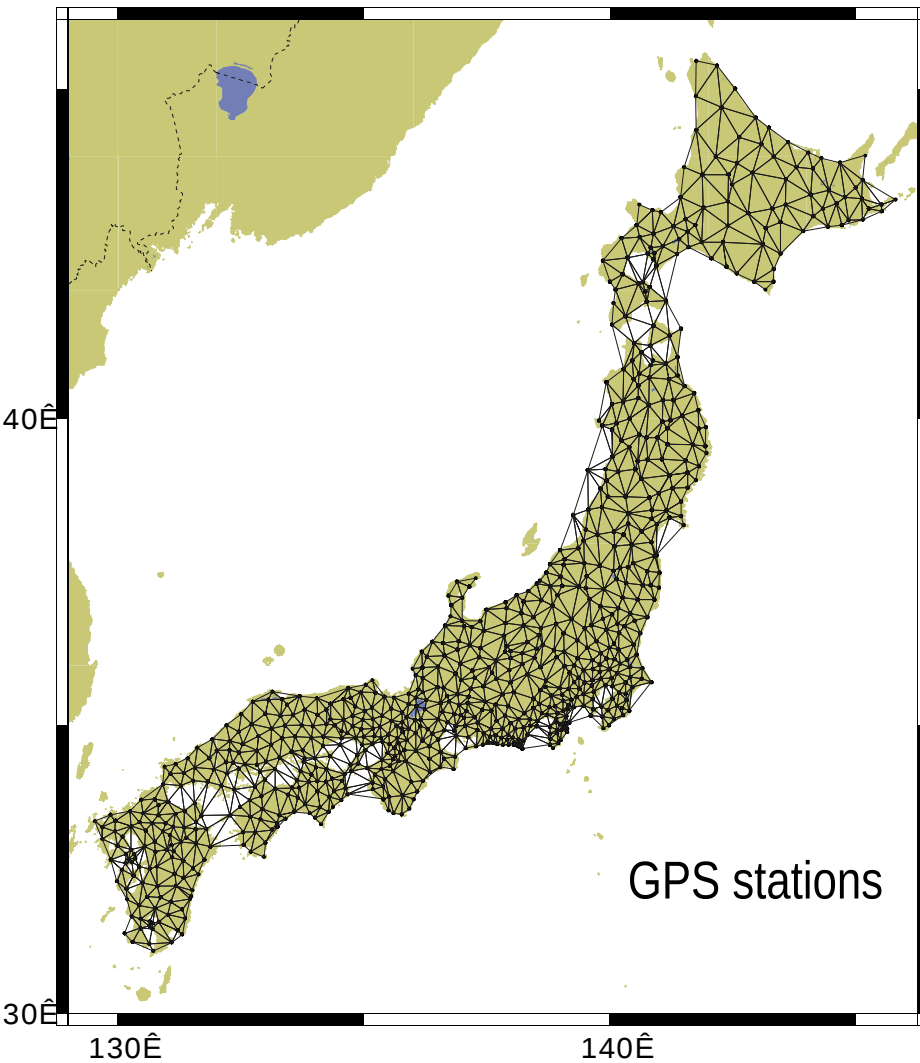
INVERSE ANALYSIS METHODS OF IDENTIFYING CRUSTAL CHARACTERISTICS USING GPS ARRAY DATA

M. HORI (Earthquake Research Institute, University of Tokyo)

Contents

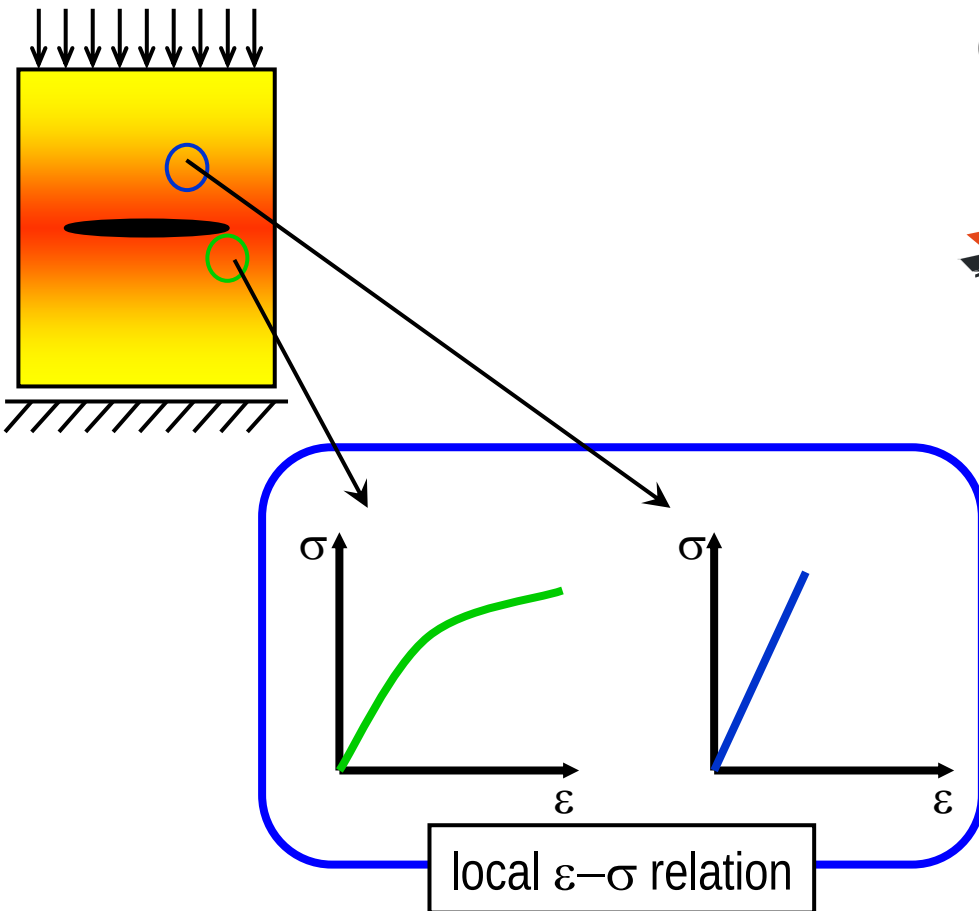
1. Stress inversion method: find equilibrating stress using measured strain and partial information on stress-strain relation
2. Elasticity inversion method: find local elasticity using densely measured displacement

GPS NETWORK AND ITS DATA

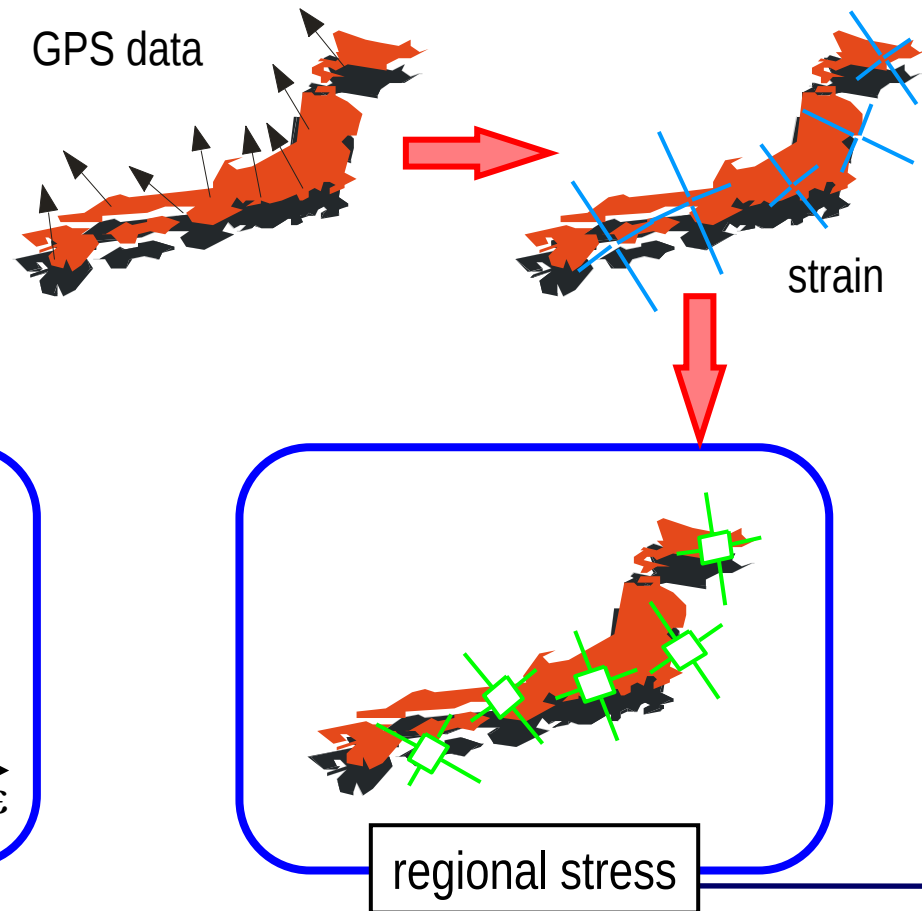


NEED FOR LOCAL STRESS PREDICTION

◆ Material Test of Next Generation



◆ Earthquake Prediction



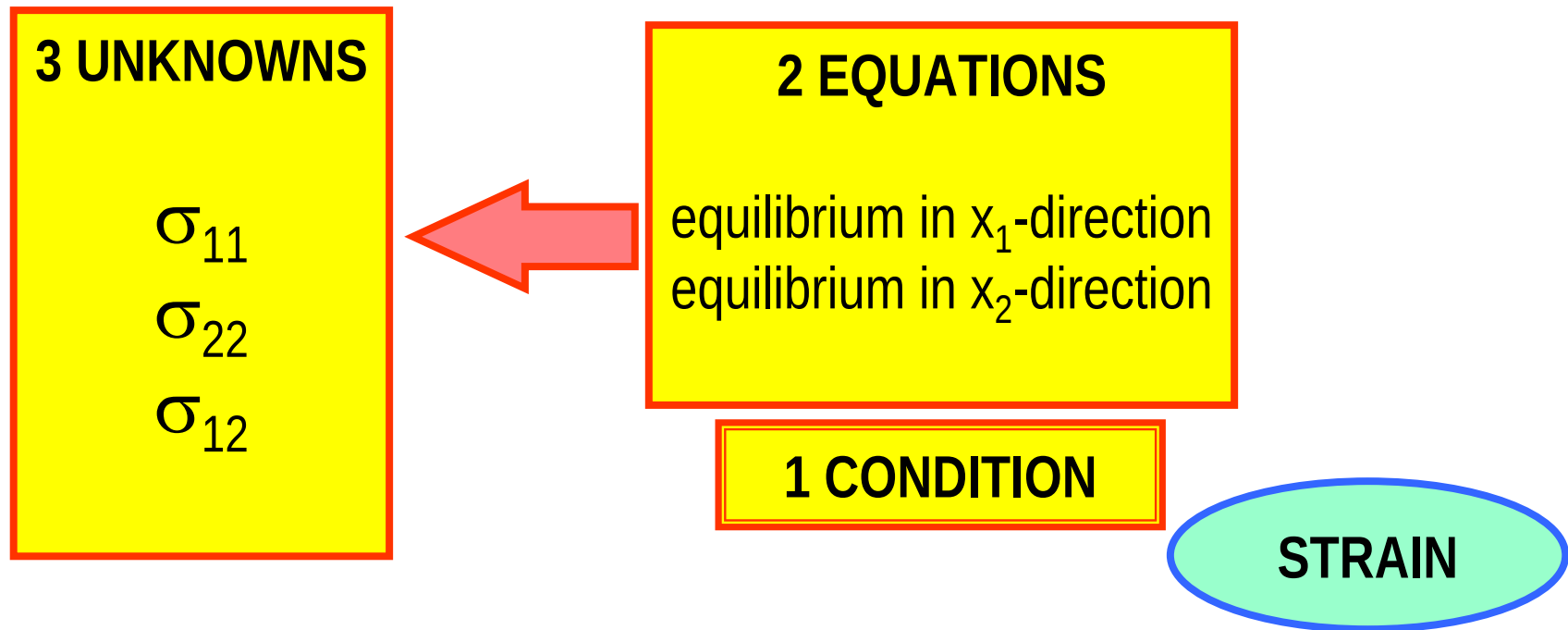
IS STRESS INVERSION POSSIBLE?

◆ 3D State

Most Difficult

◆ 2D State

Possible?



STRESS INVERSION

Airy

$$\begin{cases} \sigma_{11} = a_{,22} \\ \sigma_{22} = a_{,11} \\ \sigma_{12} = -a_{,12} \end{cases}$$

self-equilibrating stress

partial information: $\sigma_{11} + \sigma_{22} = f(\varepsilon_{ij})$

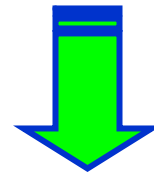
$$f(\varepsilon_{ij}) = \kappa(\varepsilon_{11} + \varepsilon_{22})$$

$\Downarrow$

$$\sigma_{11} + \sigma_{22} = \kappa(\varepsilon_{11} + \varepsilon_{22})$$

2D bulk modulus?

B.V.P.



Poisson equation

measured strain

G.E. $a_{,11} + a_{,22} = \kappa(\varepsilon_{11} + \varepsilon_{22})$

B.C. $n_1 a_{,1} + n_2 a_{,2} = -n_1 r_2 + n_2 r_1$

resultant forces

EXTENSION TO OTHER DEFORMATION STATE

◆ Dynamic State

$$\begin{cases} \sigma_{11,1} + \sigma_{12,2} = \rho \ddot{u}_1 \\ \sigma_{12,1} + \sigma_{22,2} = \rho \ddot{u}_2 \\ \sigma_{11} + \sigma_{22} = f(\varepsilon) \end{cases}$$

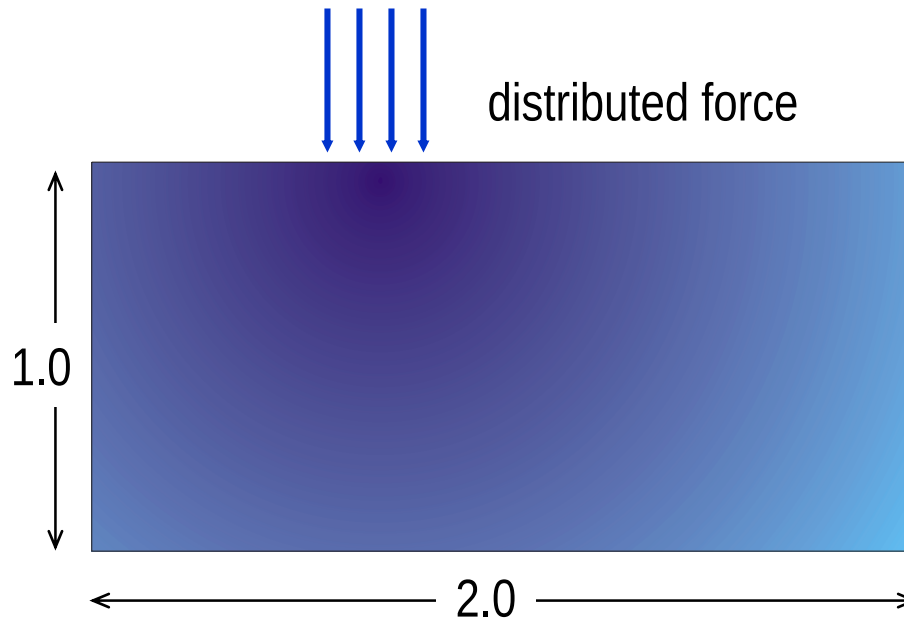
◆ Finite Deformation State:

$$\begin{cases} \sum_{j,k} (\partial X_k / \partial x_j) \sigma_{1j,k} = 0 \\ \sum_{j,k} (\partial X_k / \partial x_j) \sigma_{2j,k} = 0 \\ \sigma_{11} + \sigma_{22} = f(\varepsilon) \end{cases} \quad \left(\sigma_{ij} = \sigma_{ij}(X), \quad x_i = x_i(X) \right)$$

NUMERICAL SIMULATION

◆ Conditions

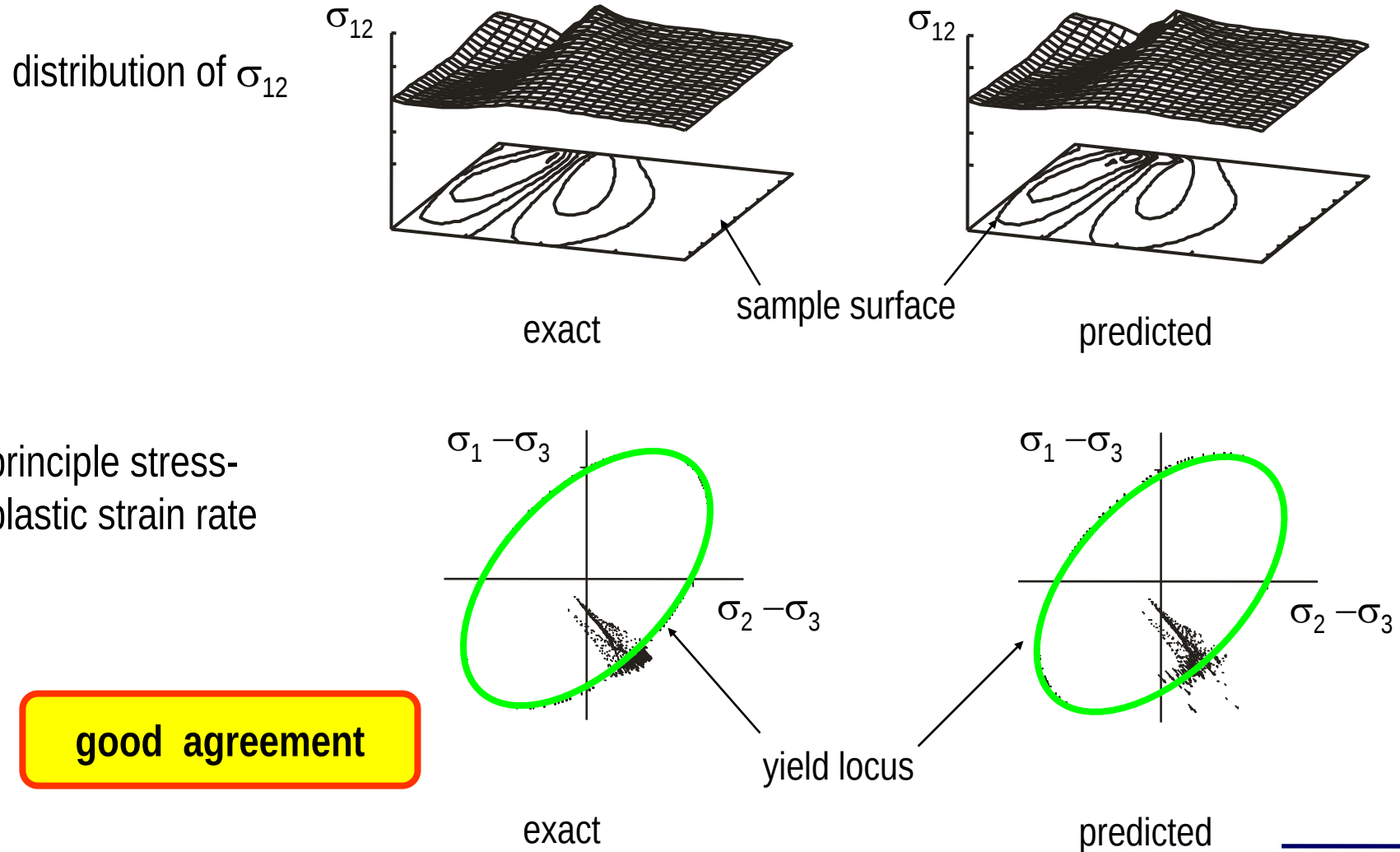
- elasto-plastic material with unknown yield function
- prediction of stress and stress-strain relation



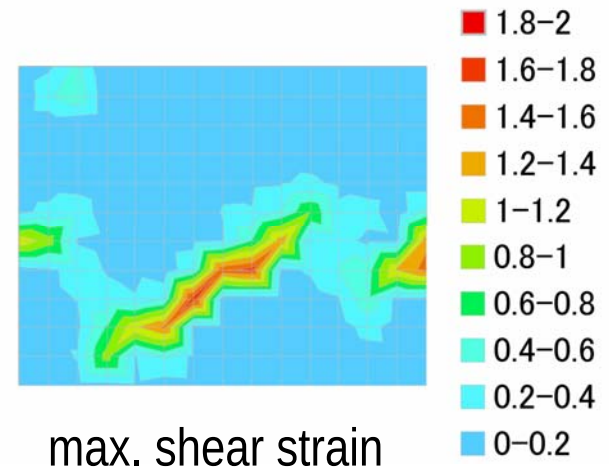
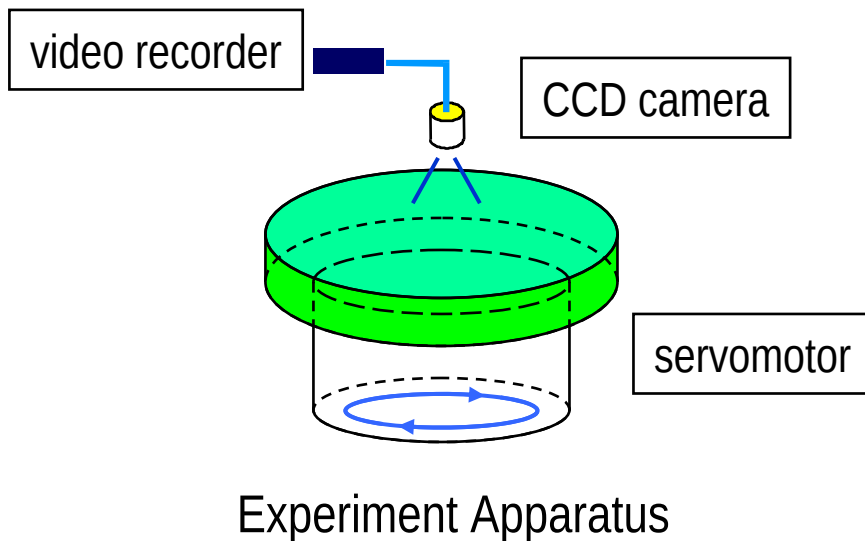
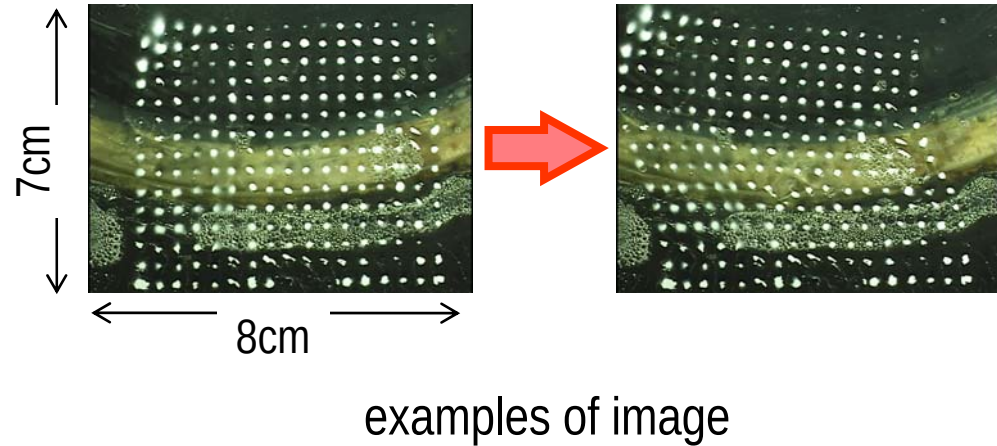
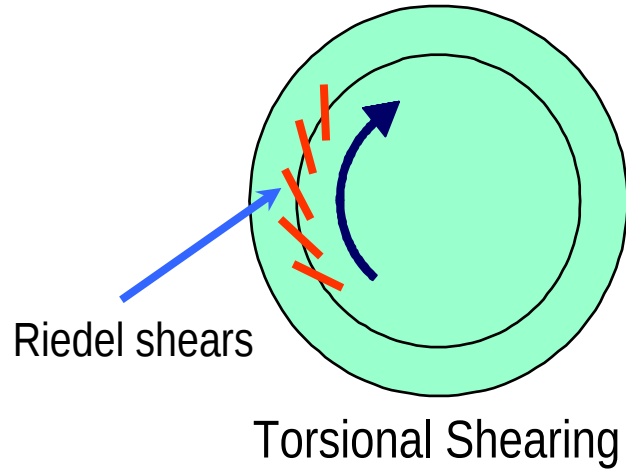
displacement field is
used as input data

FEM computation with
20x48 elements

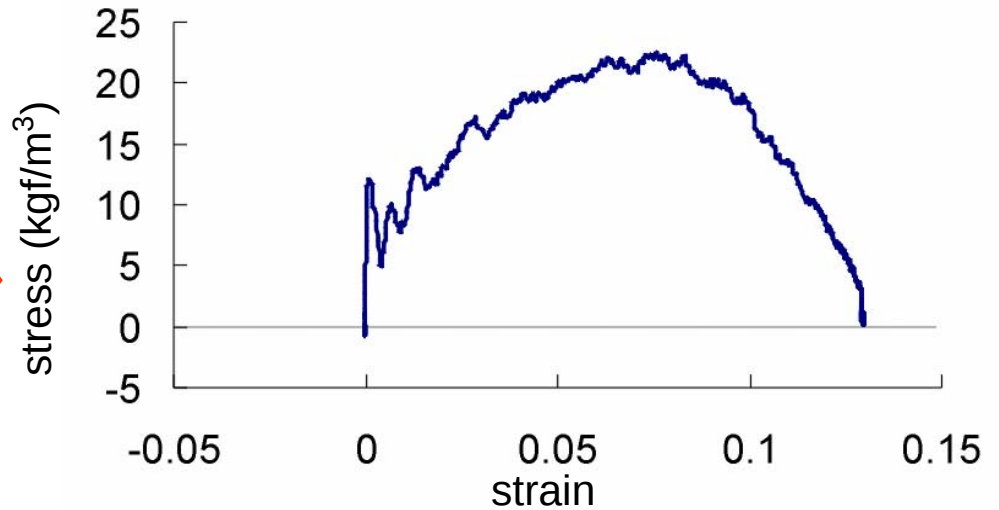
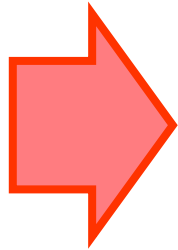
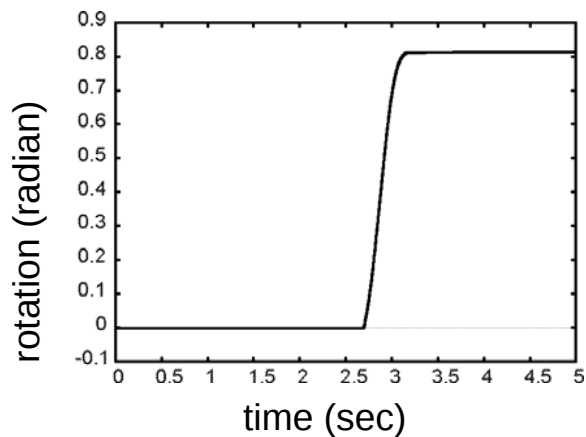
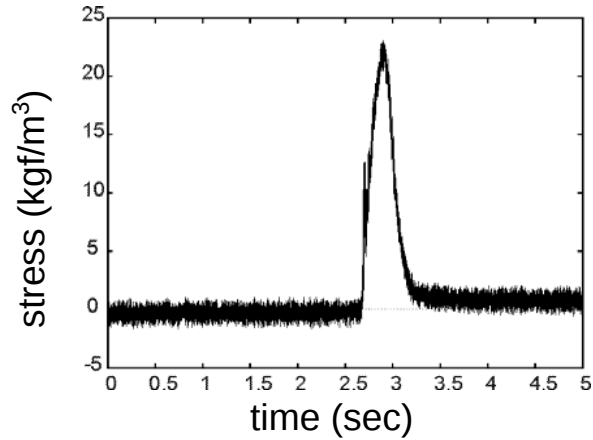
RESULTS OF INVERSION



MODEL EXPERIMENT

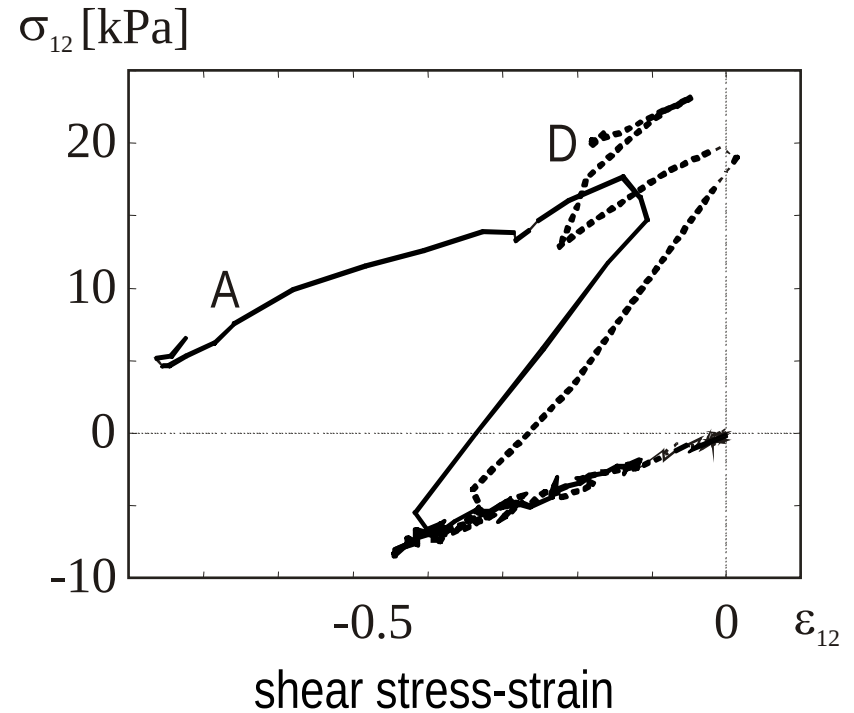
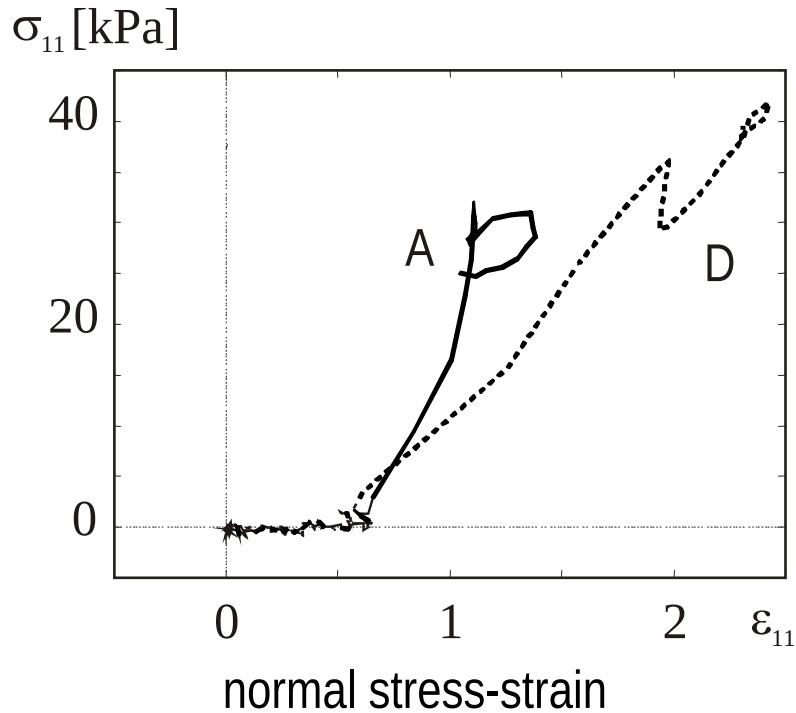


OVERALL STRESS-STRAIN RELATION



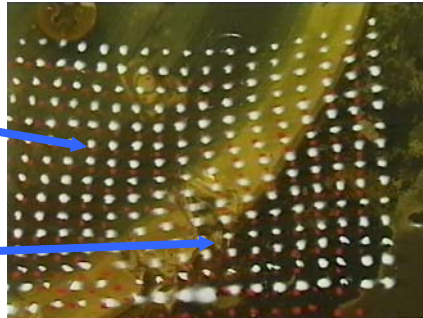
will be different from local relations?

LOCAL STRESS-STRAIN RELATIONS



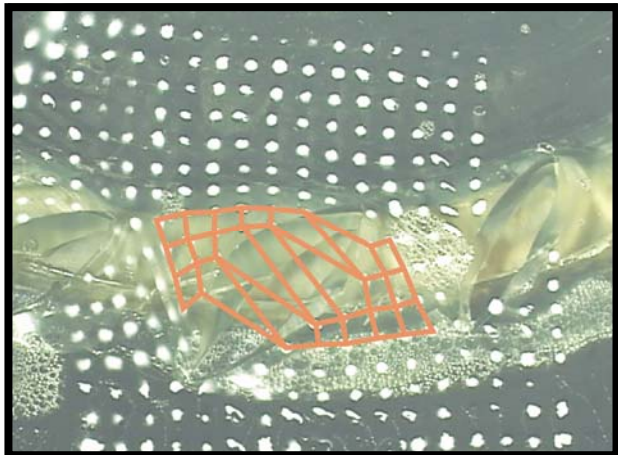
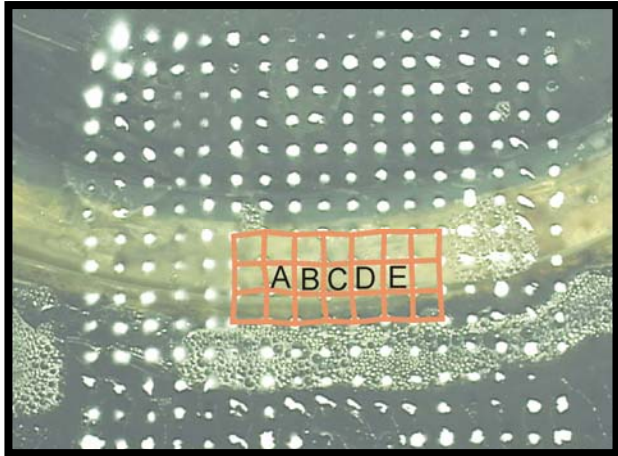
A: far from crack

D: near crack

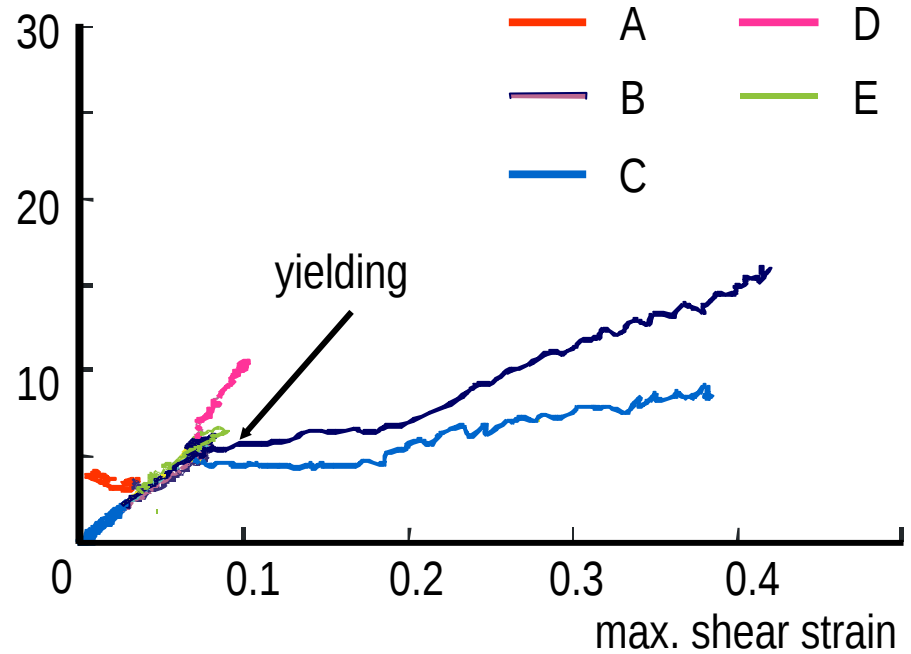


no common relations?

RESULTS OF INVERSION



max. shear stress (kPa)



common elasto-plastic relations?

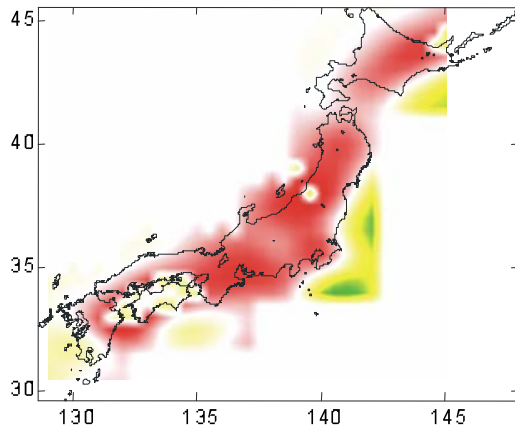
APPLICATION TO GPS ARRAY DATA

- ◆ verification of numerical analysis method
 - check numerical stability of solving boundary value problem
 - check dependency of parameters

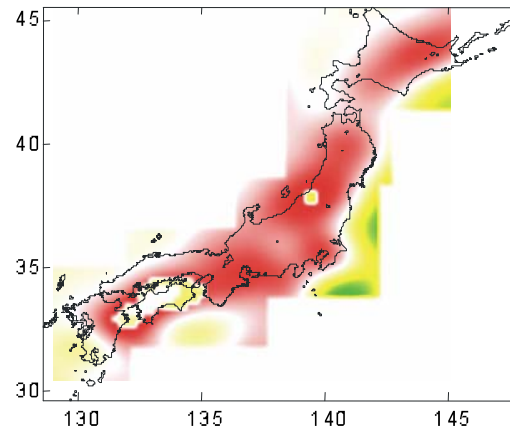
- ◆ application of stress inversion method
 - geophysical interpretation of analysis results
 - critical examination of assumption of plane state

- ◆ development of crust deformation monitor
 - automatic processing of GPS array data

CONVERGENCE

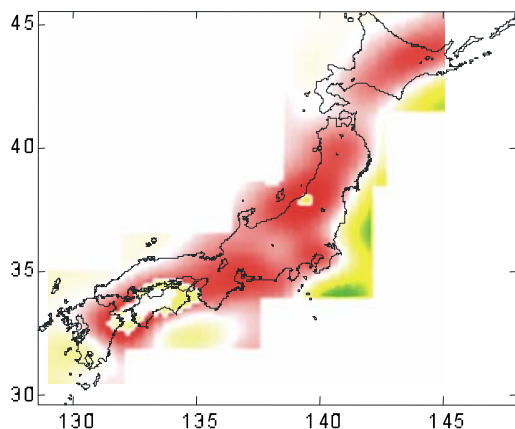


a) $\Delta=0.5$



b) $\Delta=0.25$

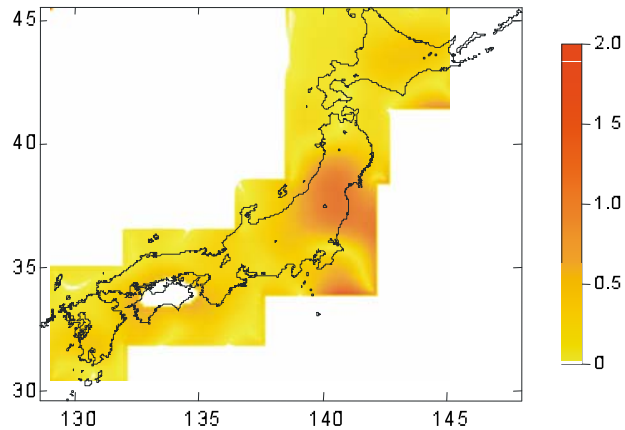
σ
(hydrostatic stress)



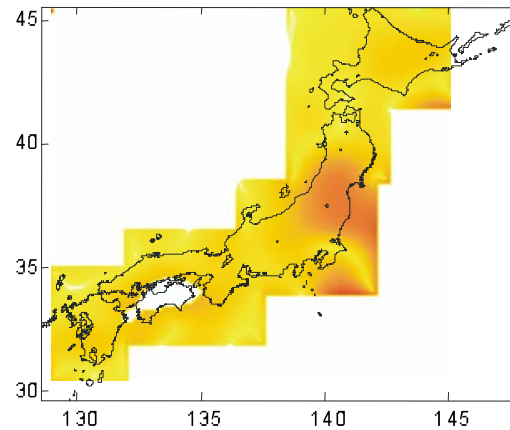
c) $\Delta=0.125$

Δ : resolution of strain distribution (degree)

EFFECT OF REFERENCE

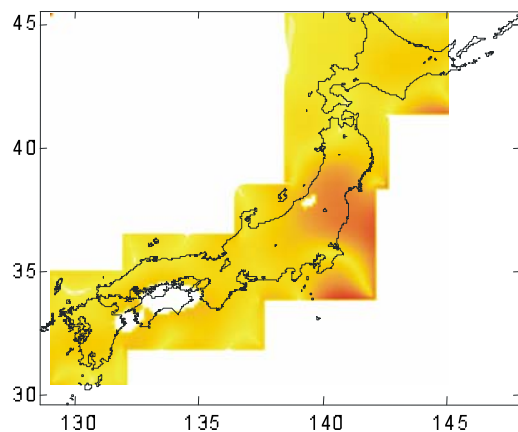


a) $\nu=0.1$ (τ)

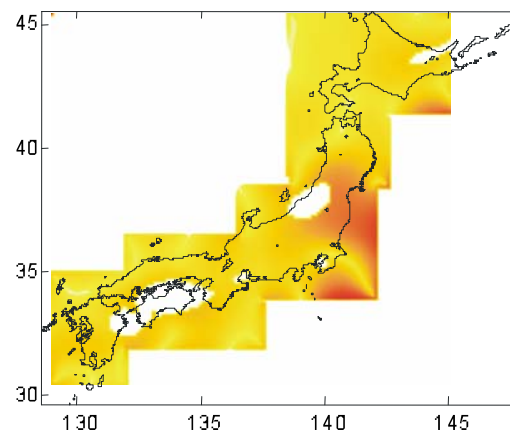


b) $\nu=0.2$ (τ)

τ
(max. shear stress)

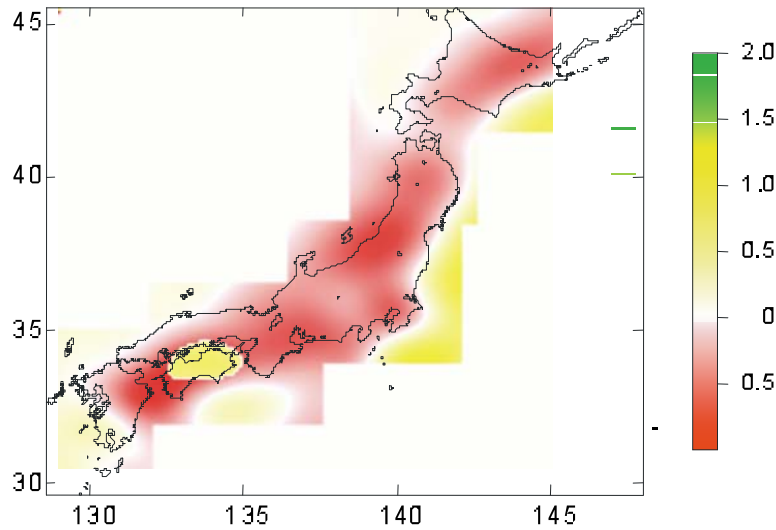


c) $\nu=0.3$ (τ)

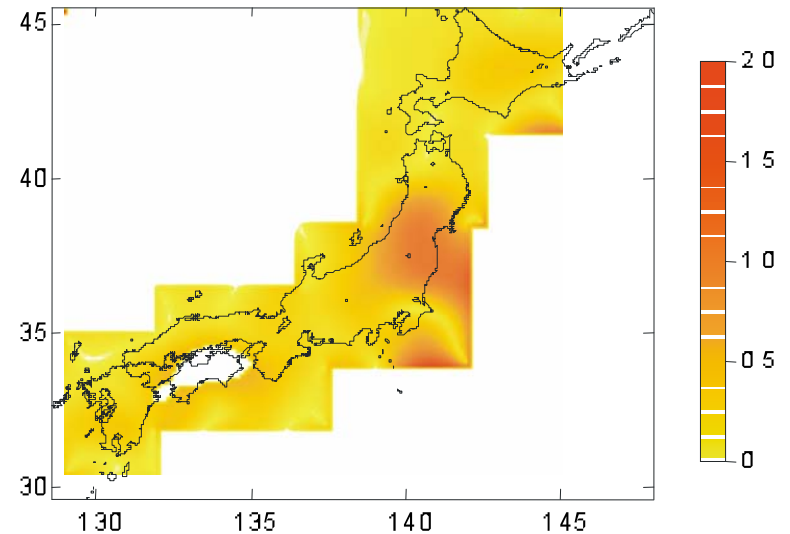


d) $\nu=0.4$ (τ)

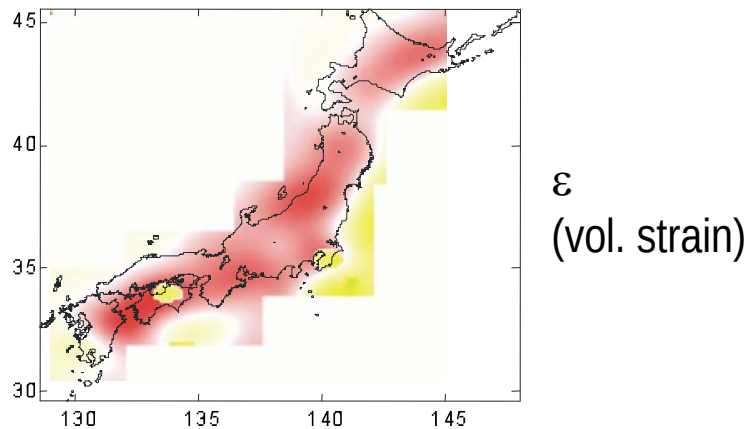
COMPARISON OF STRESS WITH STRAIN



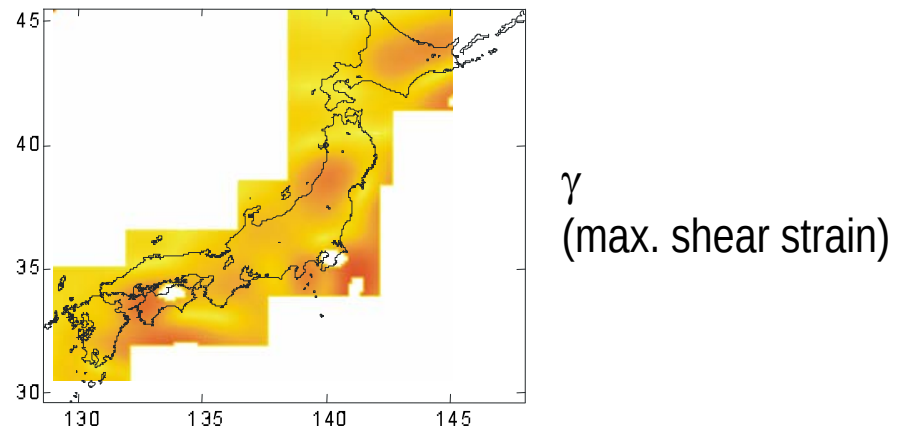
σ (hydrostatic stress)



τ (max. shear stress)

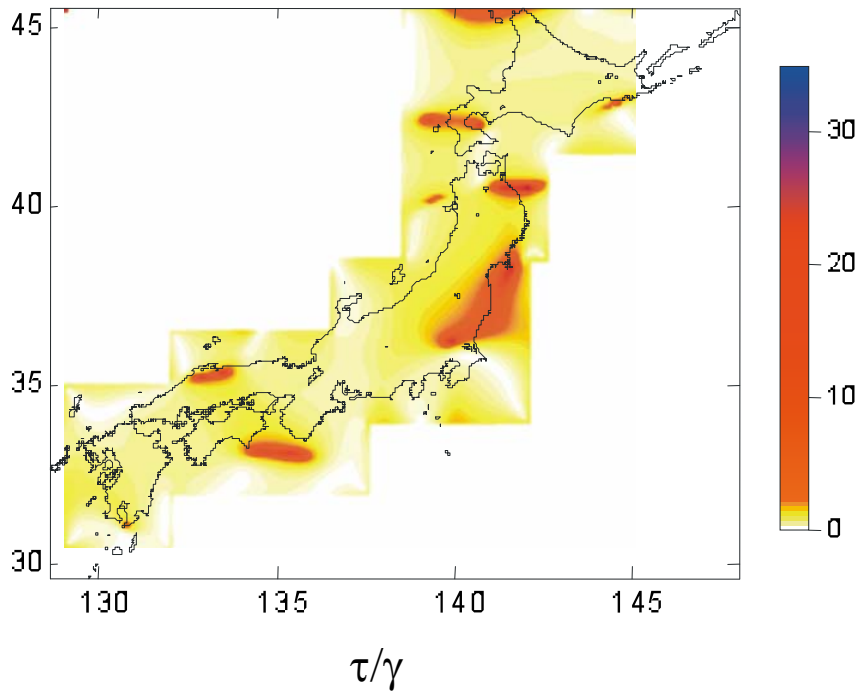


ϵ
(vol. strain)

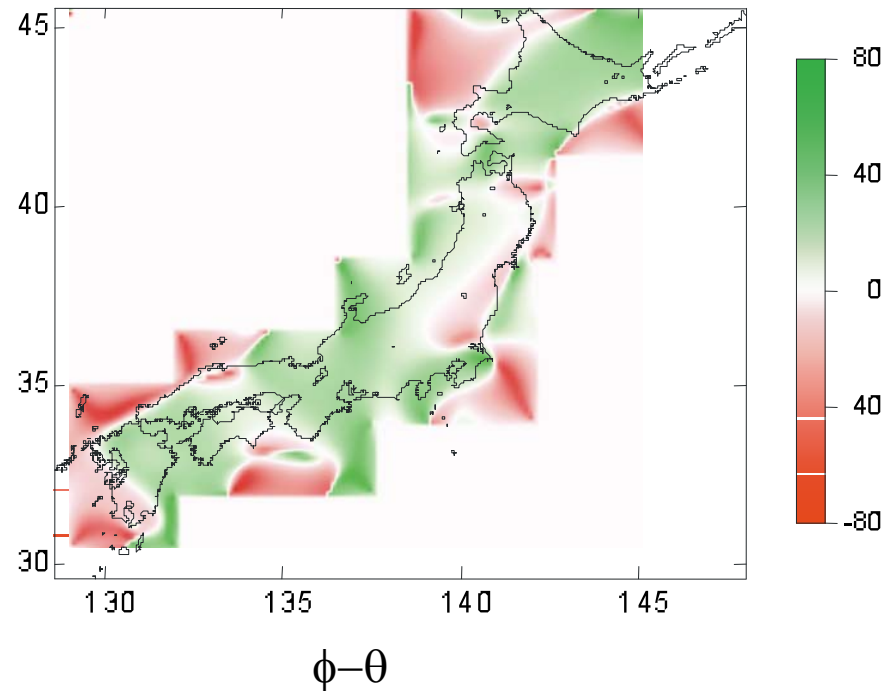


γ
(max. shear strain)

REGIONAL CONSTITUTIVE RELATIONS



regional stiffness
(τ , γ : max. shear stress and strain)

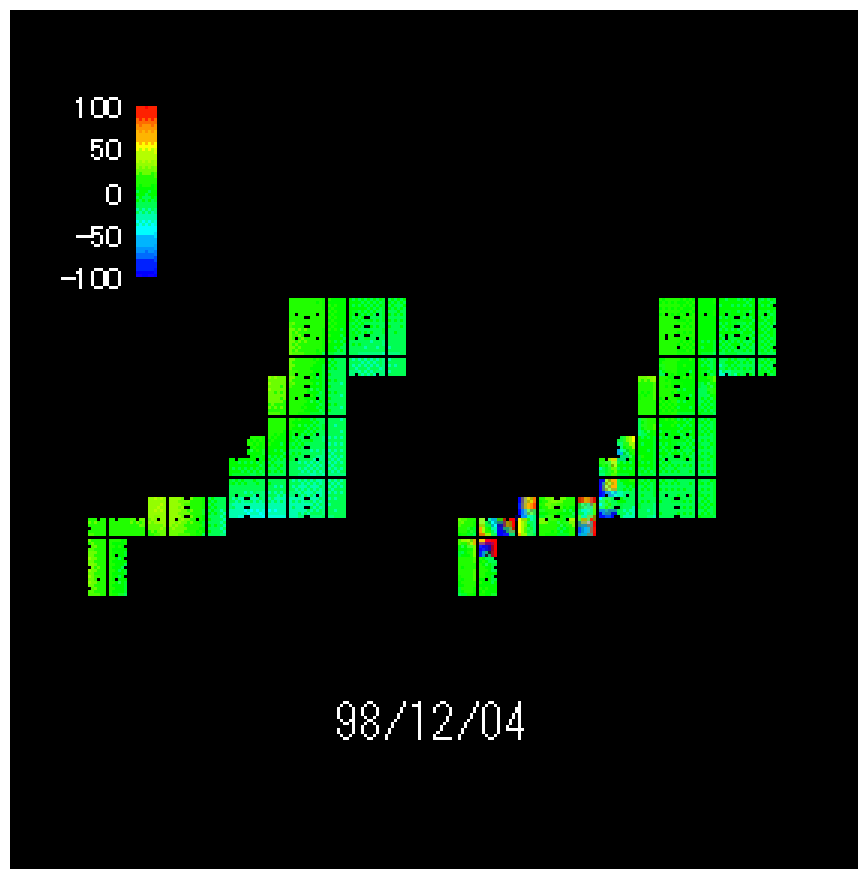


regional anisotropy
(ϕ , γ : principle stress and strain)

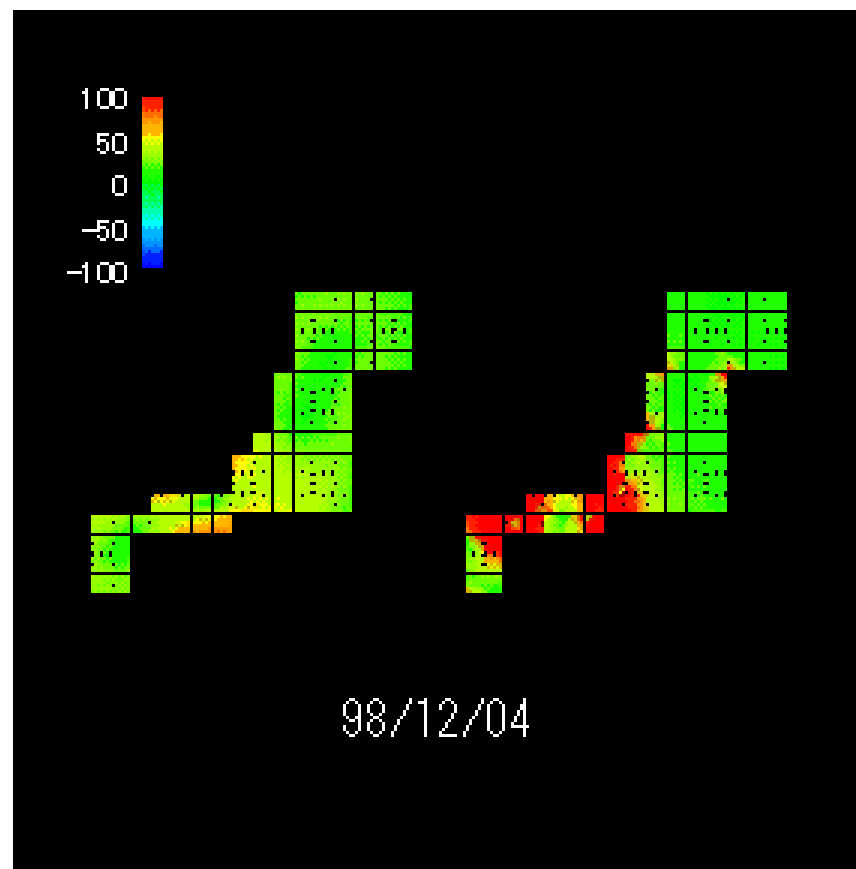
regional heterogeneity and anisotropy

CHANGE IN INVARIANT

1st invariant



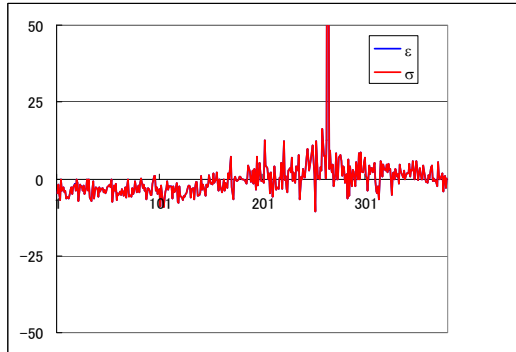
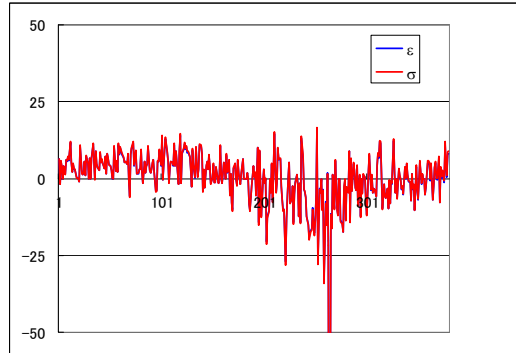
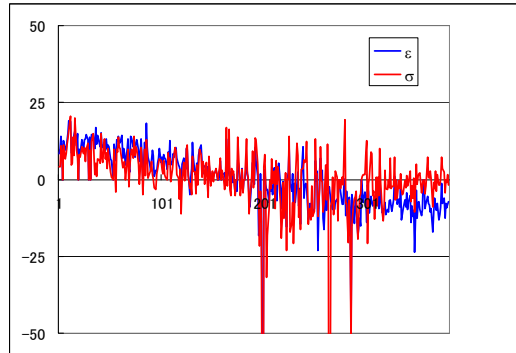
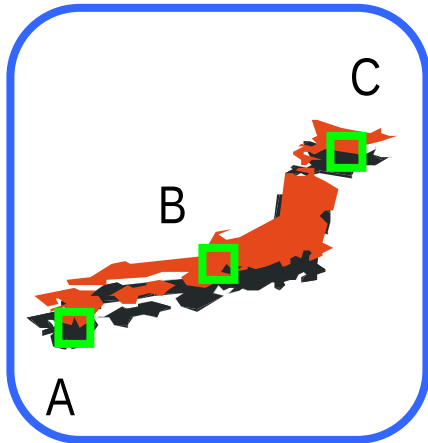
2nd invariant



CHANGE IN REGIONAL STATE

1st invariant

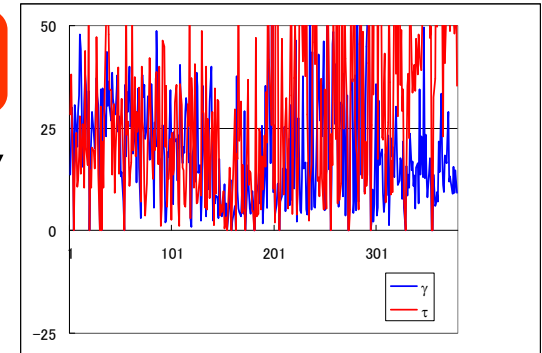
— ε
— σ



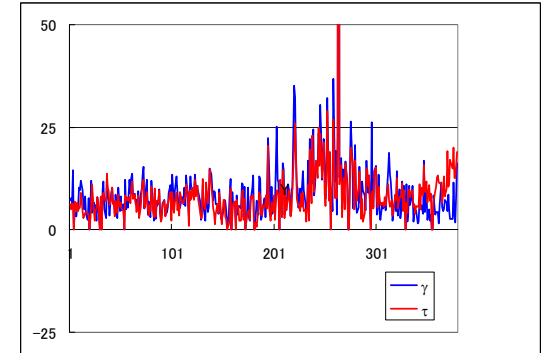
2nd invariant

— γ
— τ

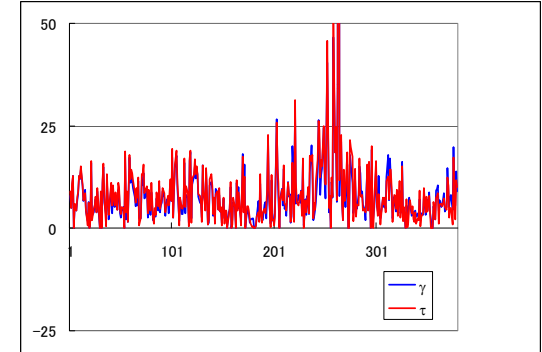
A



B



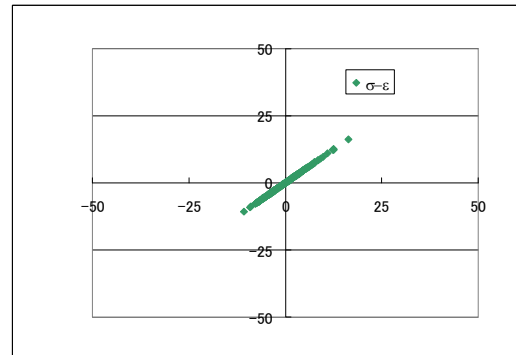
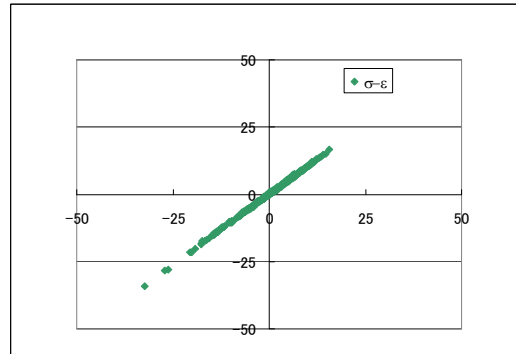
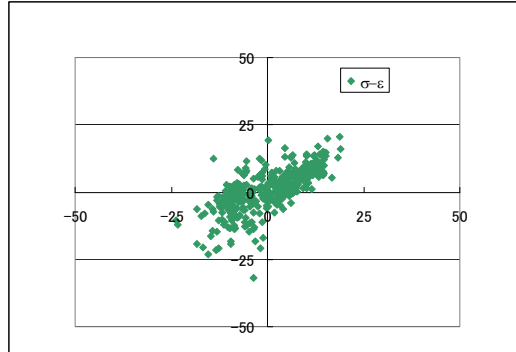
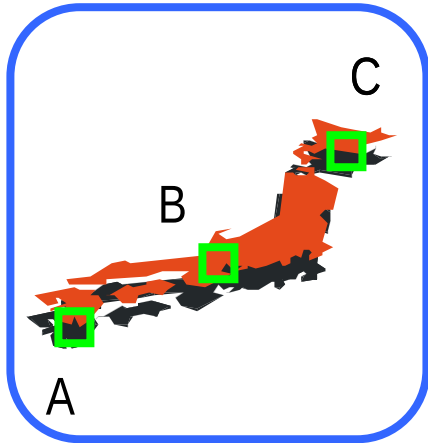
C



REGIONAL STRESS AND STRAIN

1st invariant

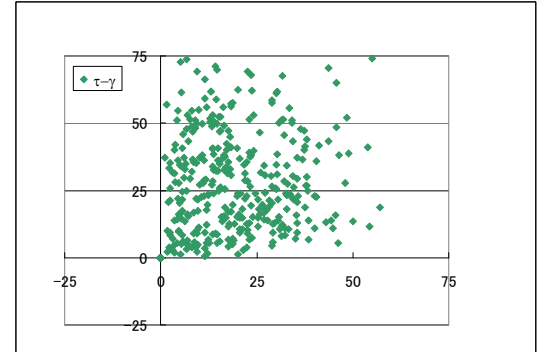
$\epsilon - \gamma$



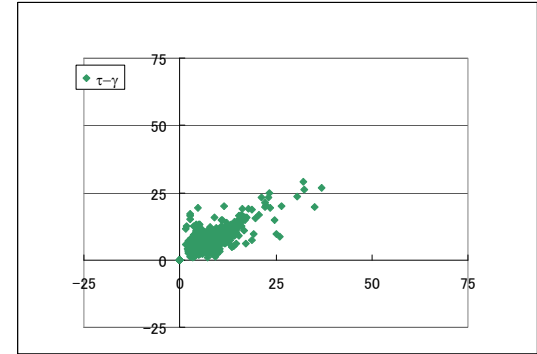
2nd invariant

$\gamma - \tau$

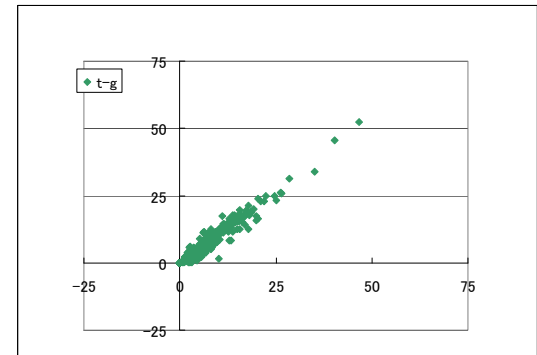
A



B



C



GPS DATA DURING 1998-1999

◆ GPS Data

- no spatial filtering to get rid of measurement noise
- linear interpolation between two GPS station

◆ More Sophisticated Treatment of BVP

- FEM with triangle element
- weak form
- regionally averaged field quantities

APPLICATION TO GPS NETWORK DATA

◆ BVP in Rate Form and Weak Form

$$\text{G.E. } \dot{a}_{,11} + \dot{a}_{,22} = \kappa(\dot{\epsilon}_{11} + \dot{\epsilon}_{22})$$

strain rate

$$\text{B.C. } n_1 \dot{a}_{,1} + n_2 \dot{a}_{,2} = -n_1 \dot{r}_2 + n_2 \dot{r}_1$$

$$\int \varphi_{,1} \dot{a}_{,1} + \varphi_{,2} \dot{a}_{,2} - \kappa(\varphi_{,1} \dot{u}_1 + \varphi_{,2} \dot{u}_2) dS = 0$$

displacement rate

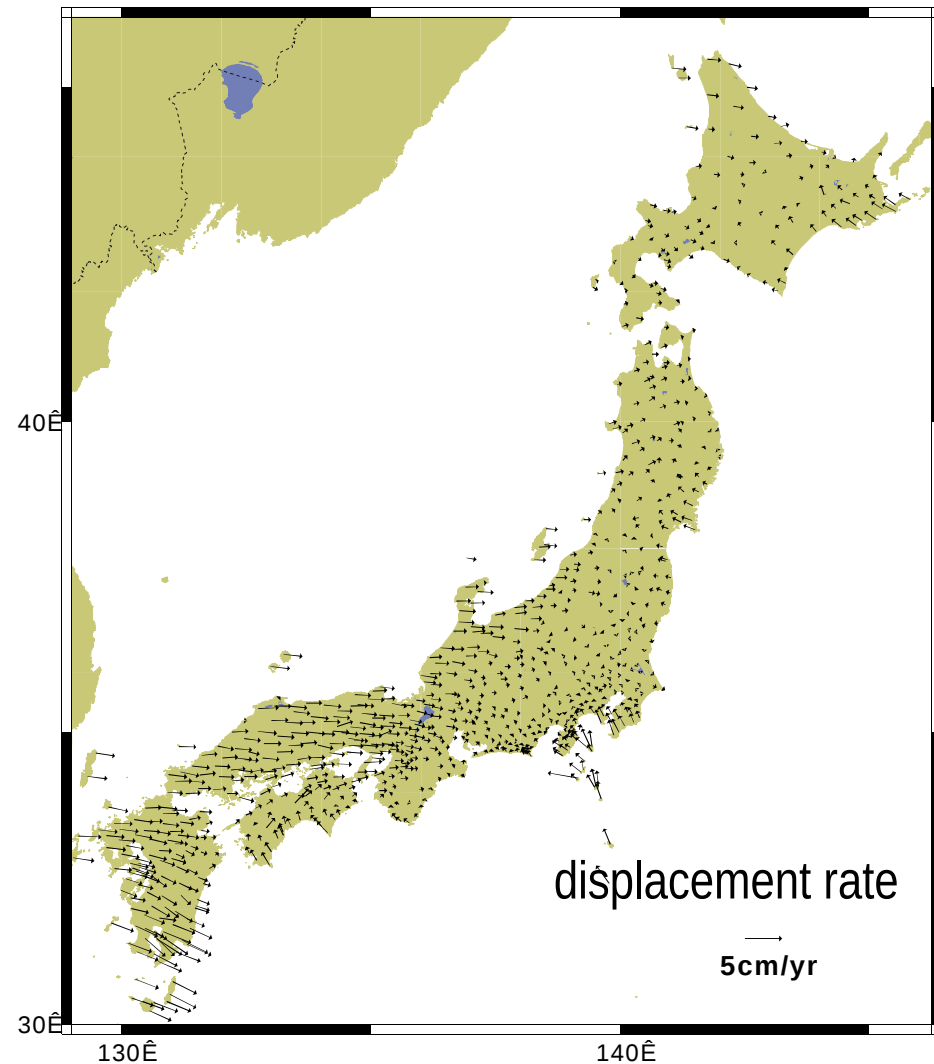
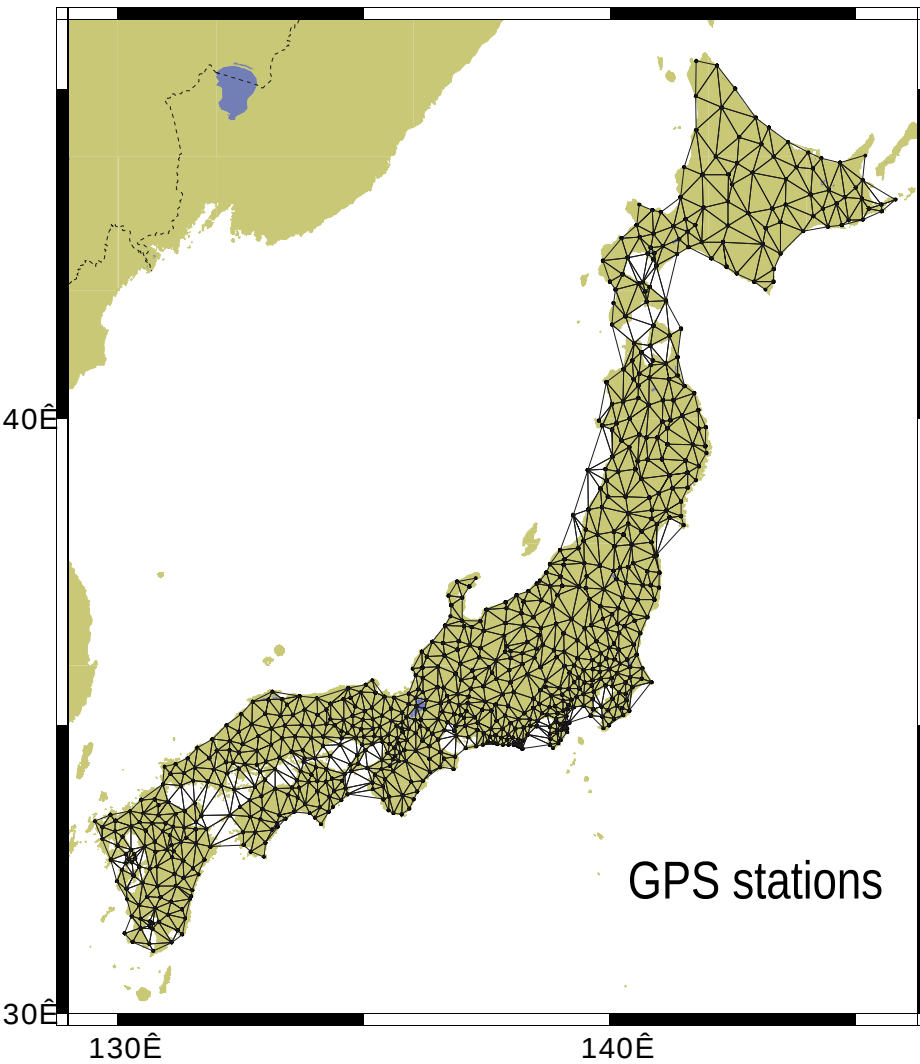
◆ Computation of Average Quantities

$$\langle \dot{\epsilon}_{11} \rangle = \frac{1}{\Omega} \int_{\partial\Omega} n_1 \dot{u}_1 dL$$

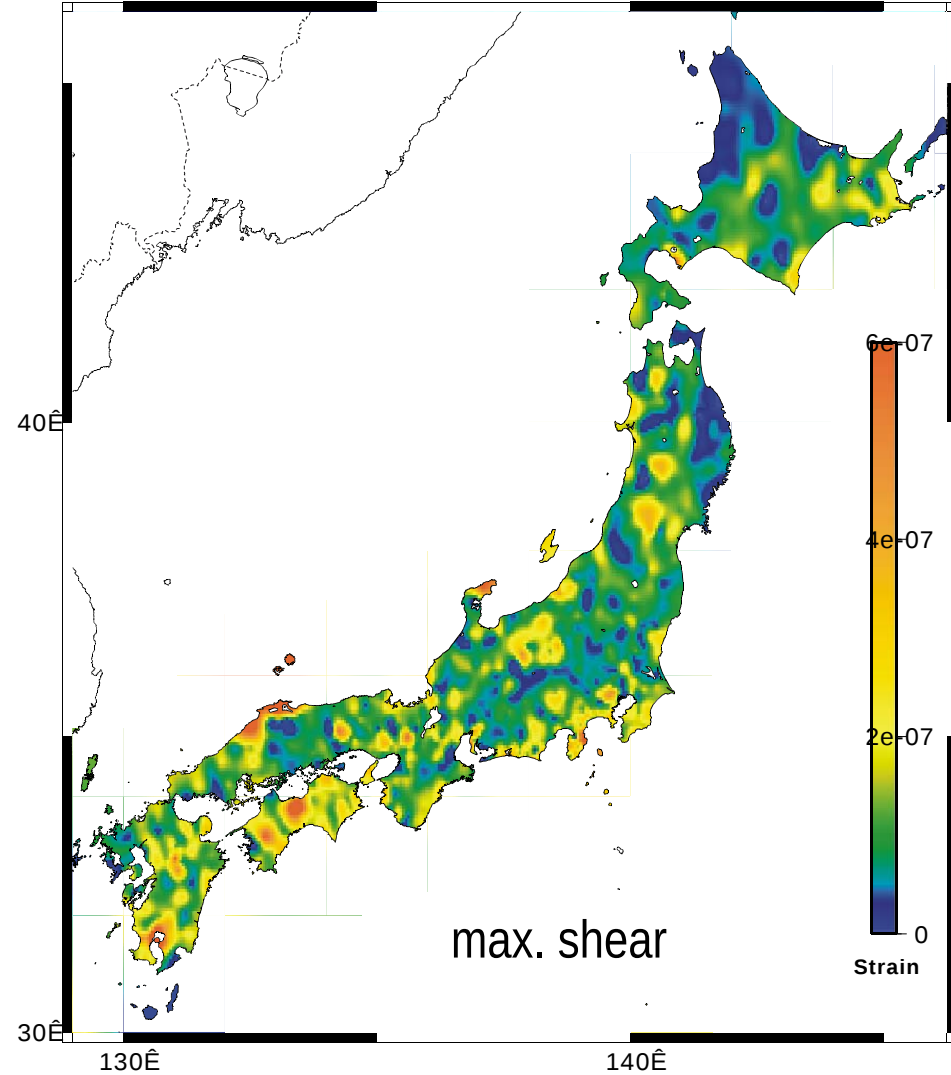
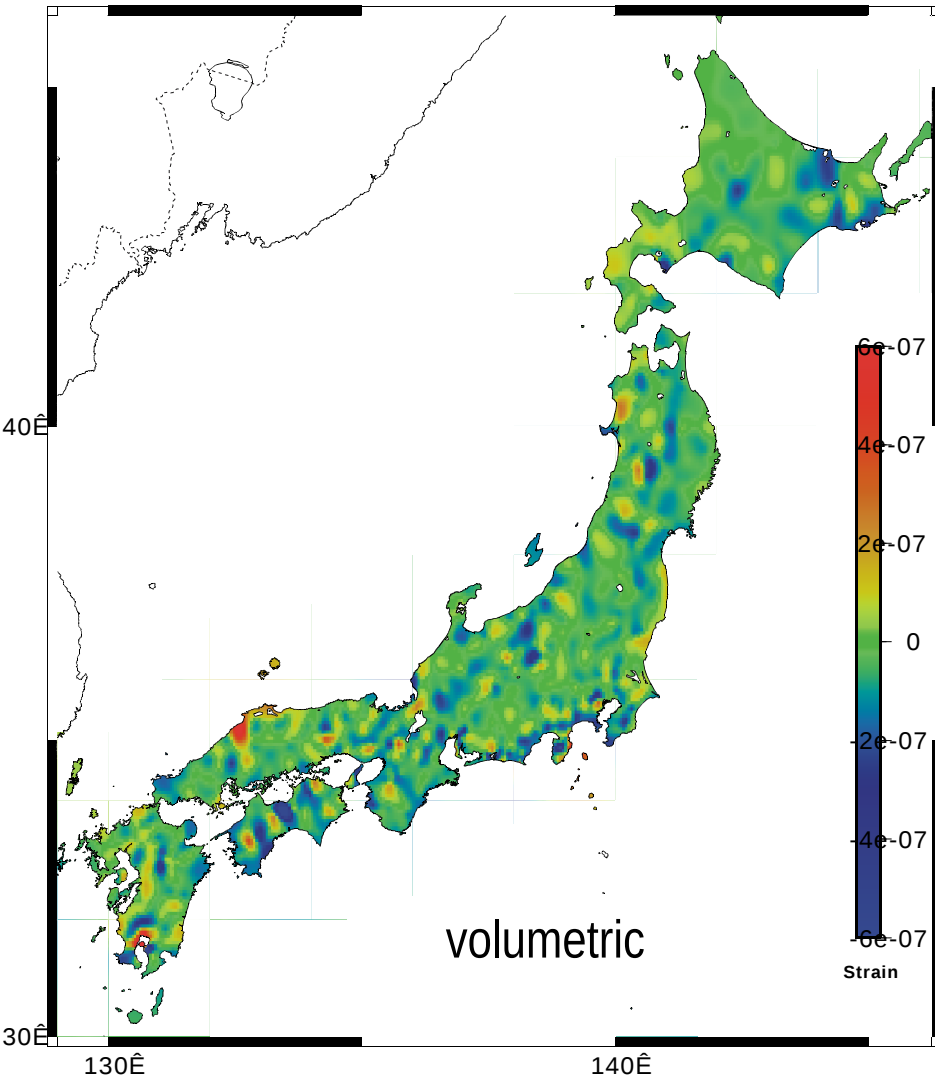
$$\langle \dot{\sigma}_{11} \rangle = \frac{1}{\Omega} \int_{\partial\Omega} n_2 \dot{a}_{,2} dL$$

average stress rate computed by using 1st-order derivative

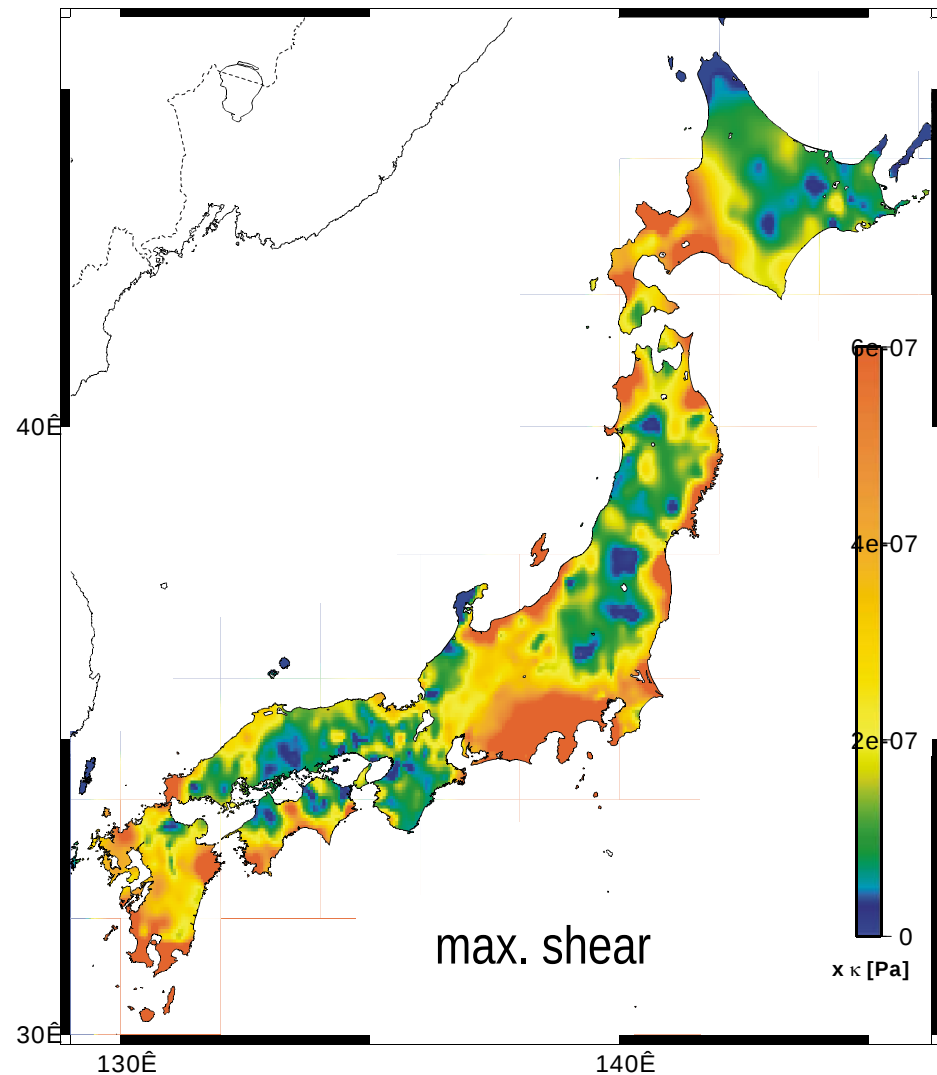
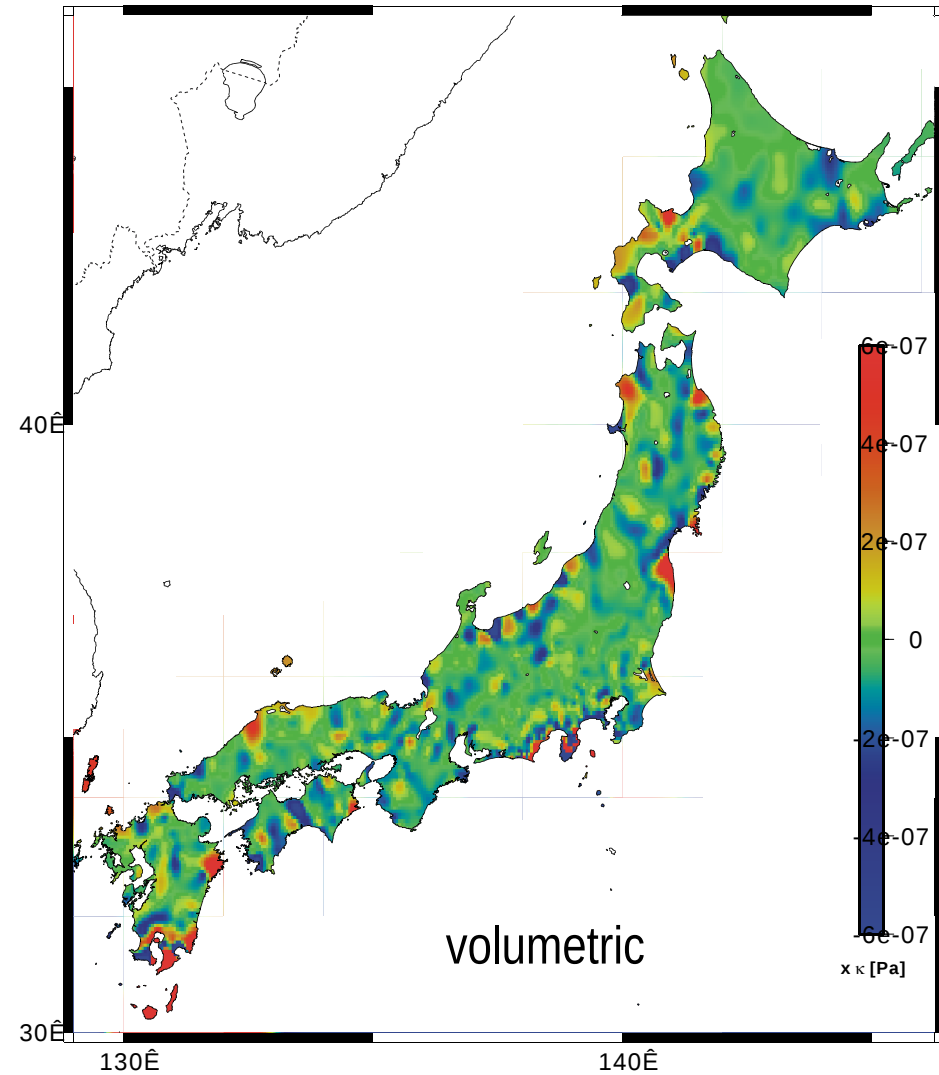
GPS NETWORK AND ITS DATA



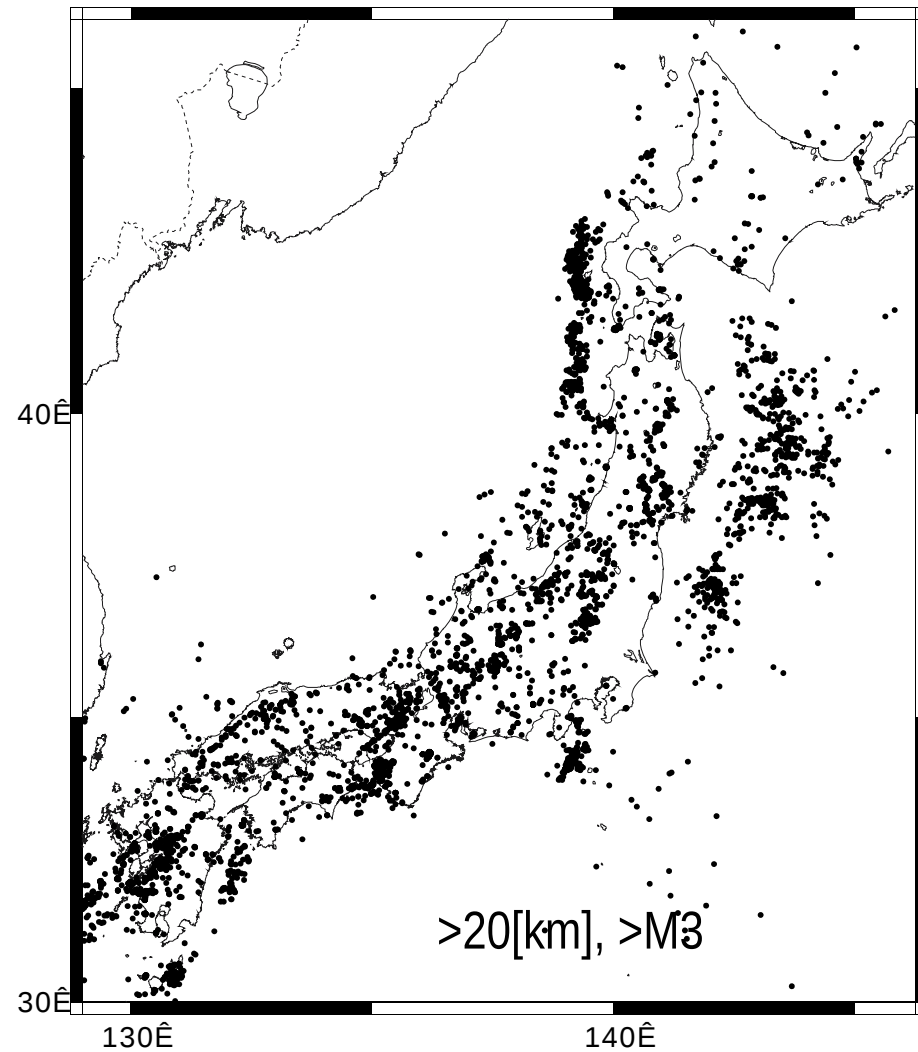
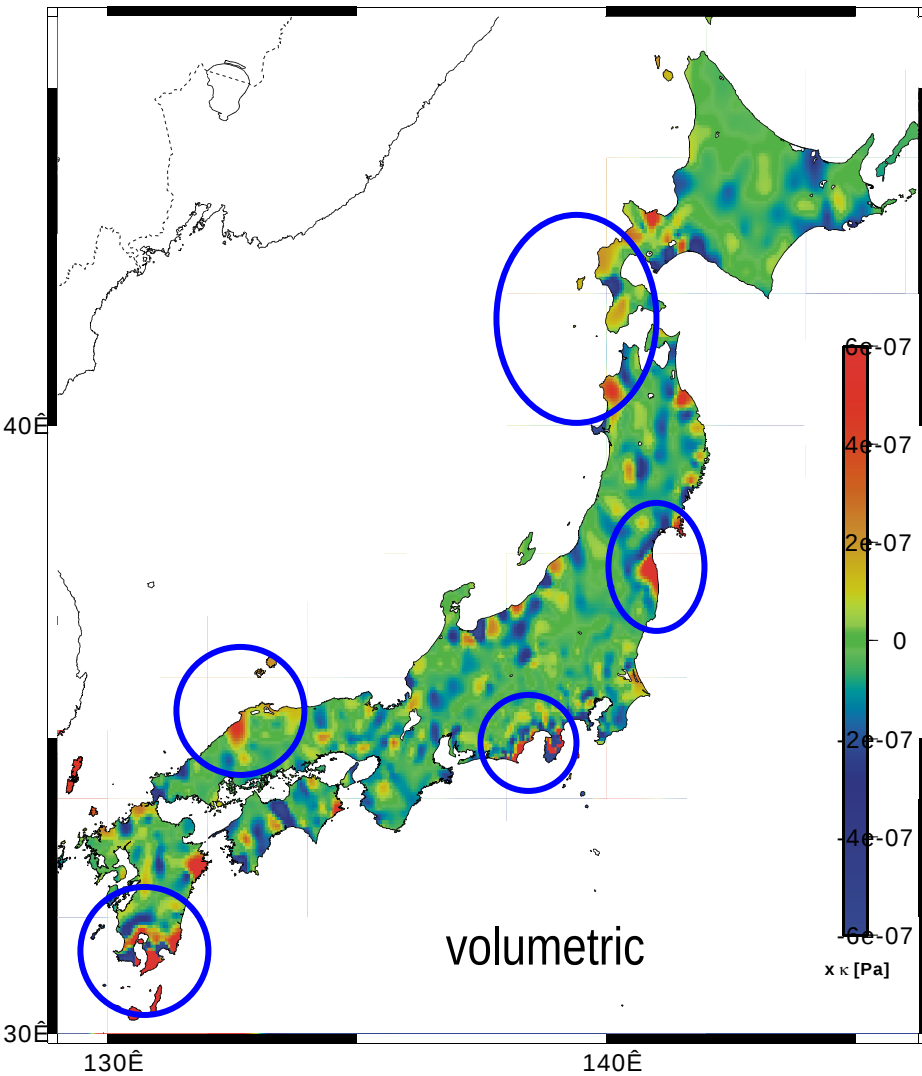
REGIONAL STRAIN RATE



REGIONAL STRESS RATE



COMPARISON WITH SEISMIC EVENTS?



REGIONAL CONSTITUTIVE RELATIONS

find $\kappa(\mathbf{x})$ s.t.

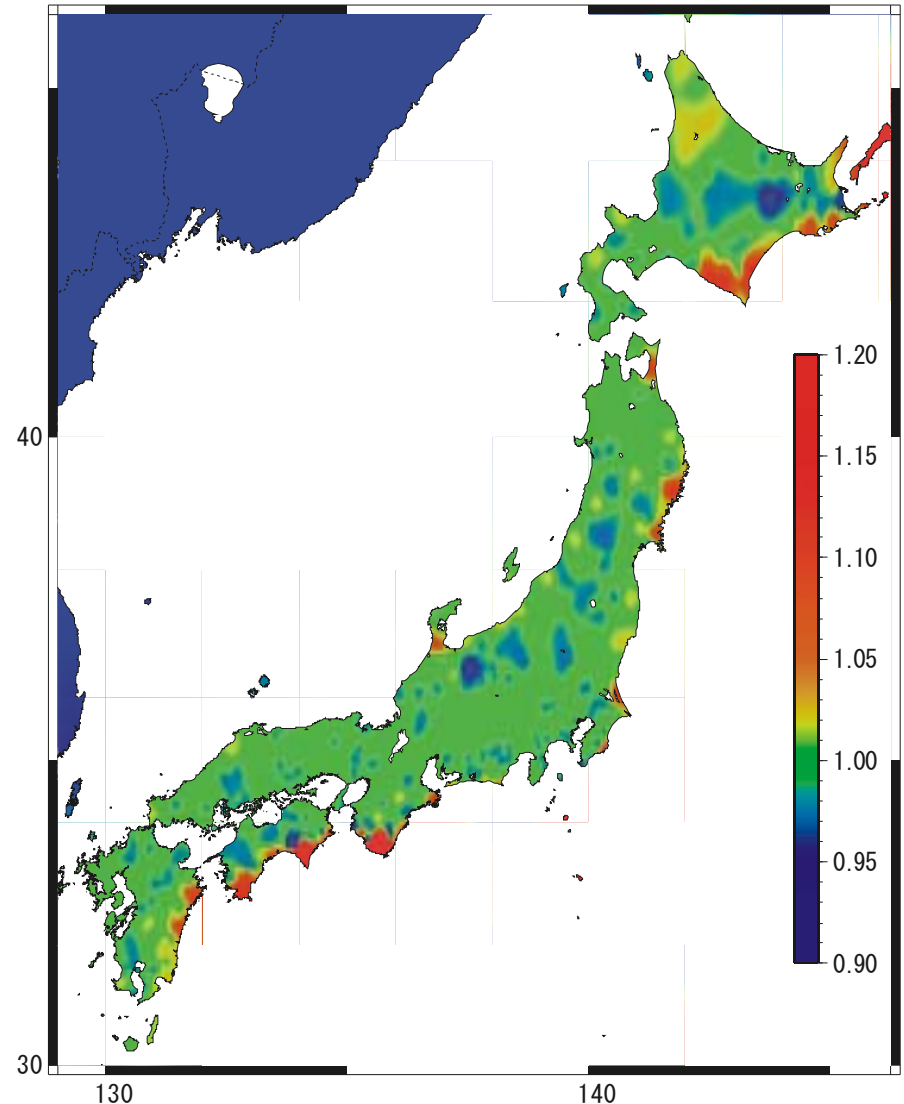
$$\dot{\tau}(\mathbf{x}; \kappa) = \kappa(\mathbf{x}) \dot{\gamma}(\mathbf{x})$$

$\dot{\tau}, \dot{\gamma}$ maximum shear

κ regional stiffness

κ is originally used to relate σ & ε
through $\sigma = \kappa \varepsilon$.

**not too far from known
geological structure**



DRAWBACKS OF STRESS INVERSION

- ◆ Need to Know One Constitutive Relation
 - bulk stress and bulk strain
 - isotropy assumption
- ◆ Need to Know Boundary Traction/Resultant Force
 - assumption of uniform stress
 - fast decrease of non-uniform boundary traction
- ◆ Difficulty in Understanding Plane-Stress-State Model

another analysis method needed?

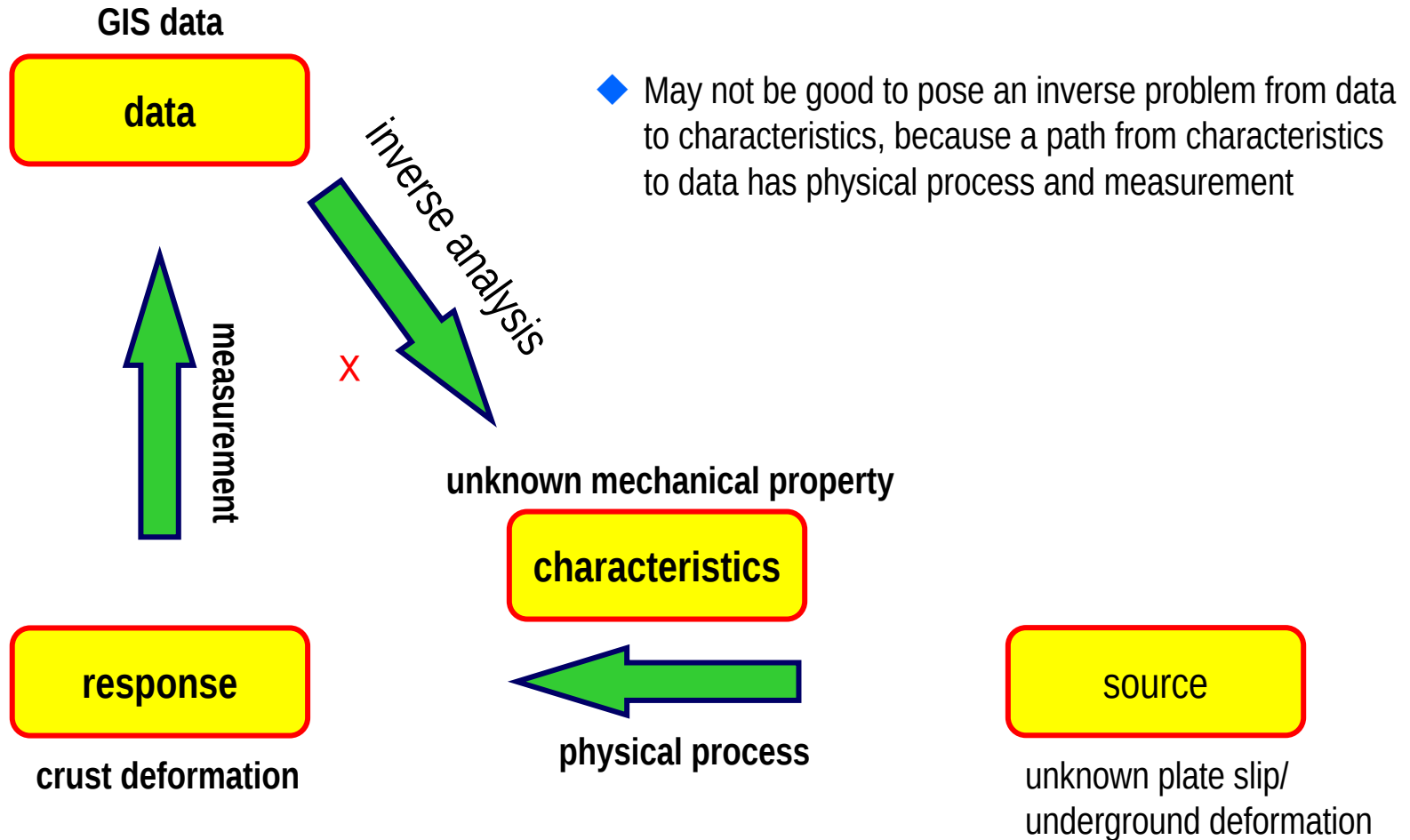
DRAWBACKS OF ELASTICITY INVERSION

- ◆ Sensitive to Displacement Error
 - need to make fine discretization of target body
 - need to have some strong modes of deformation

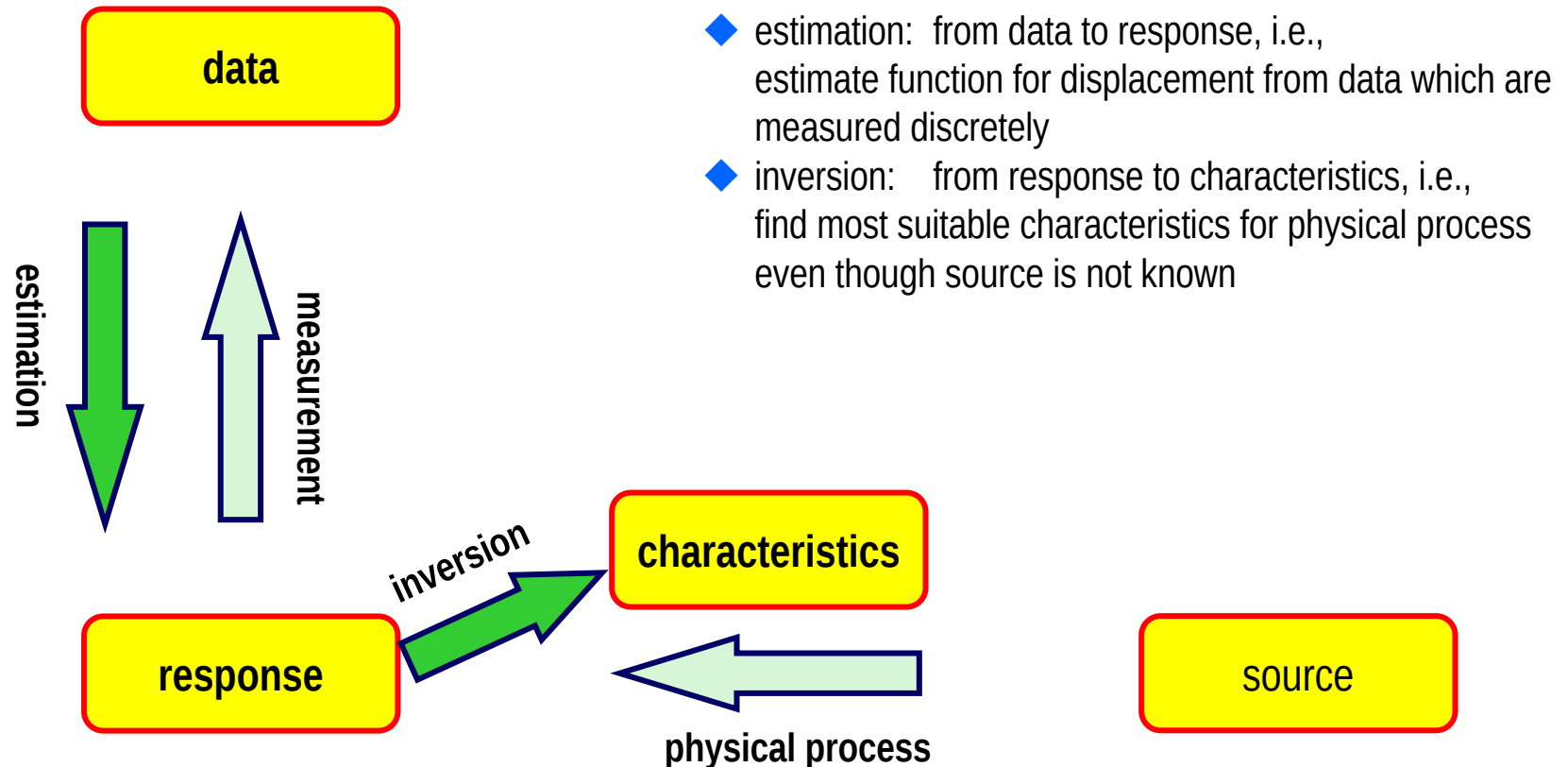
Why is it so?

- ◆ no mistakes in mathematics
- ◆ poor understanding of physics

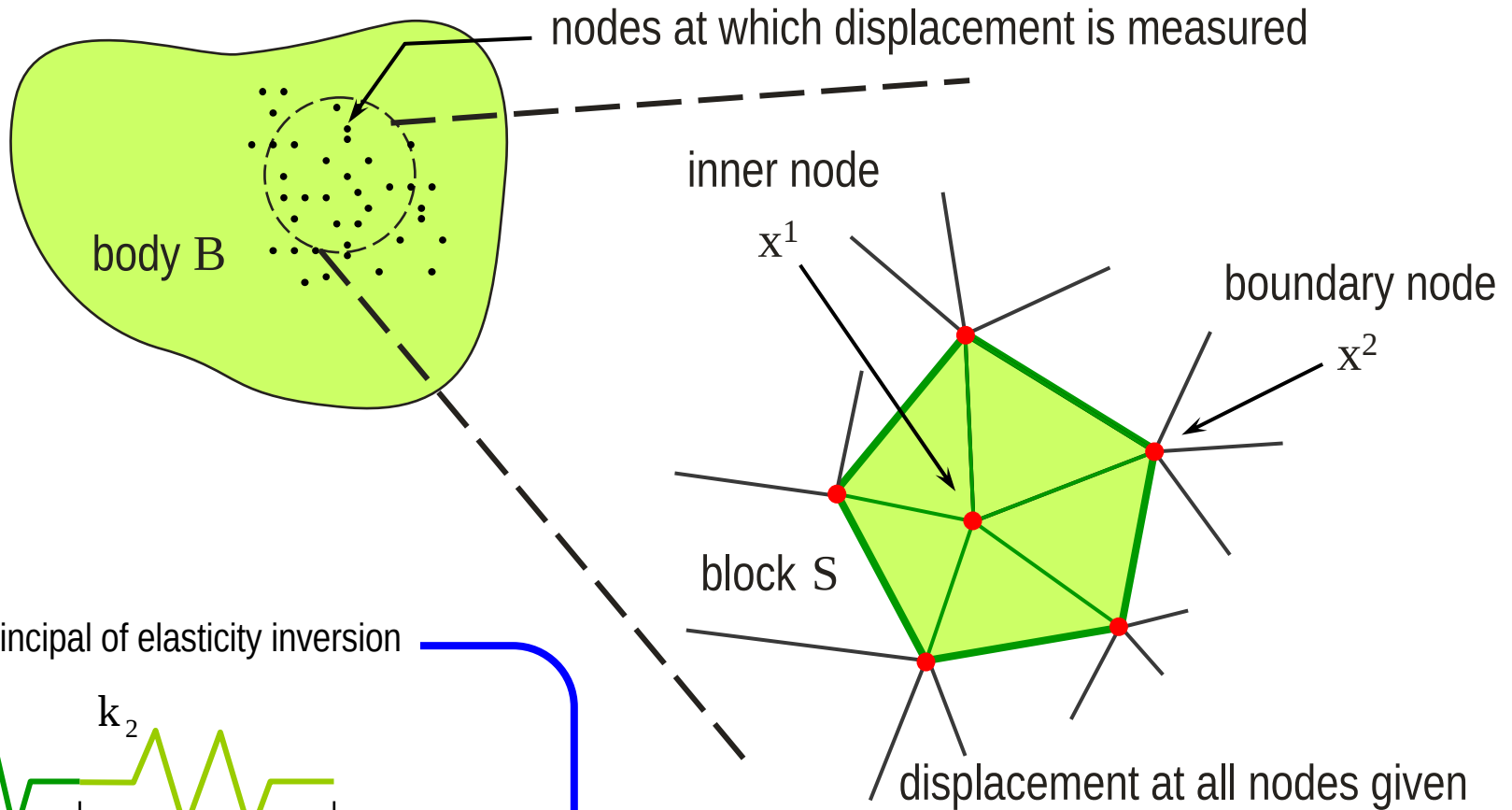
PHYSICAL PROCESS AND MEASUREMENT



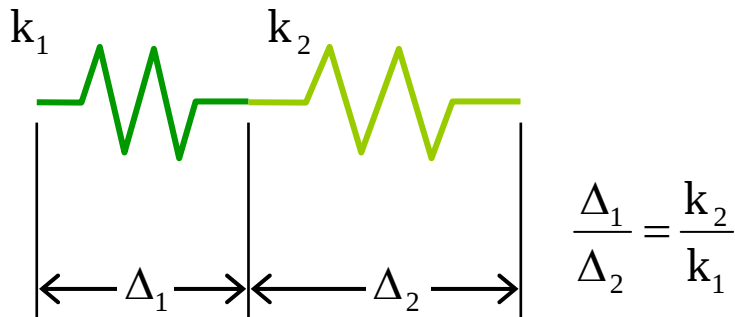
ESTIMATION AND INVERSION



BLOCK IN CONTINUUM

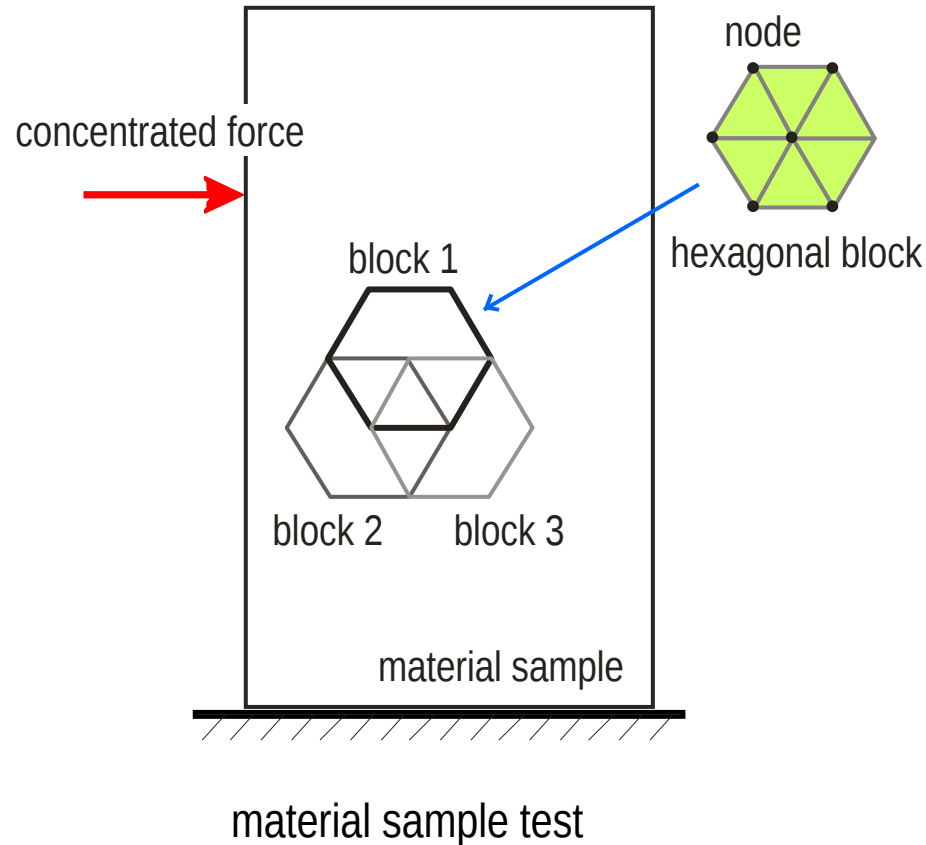


principal of elasticity inversion



no need to consider interaction of S with outside region

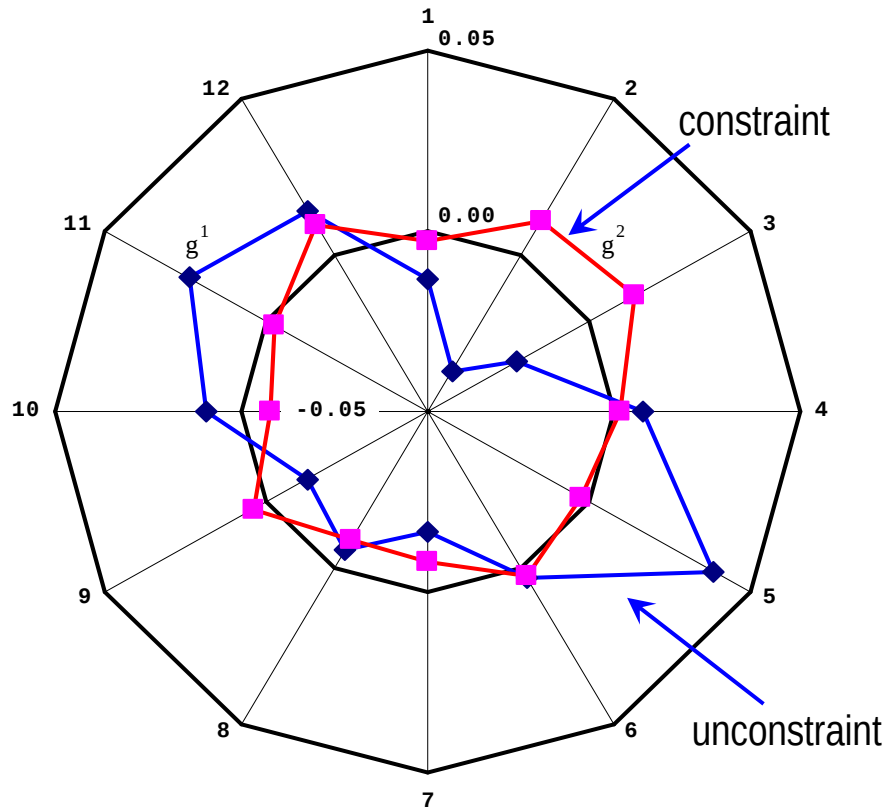
IDENTIFICATION OF DISPLACEMENT MODE



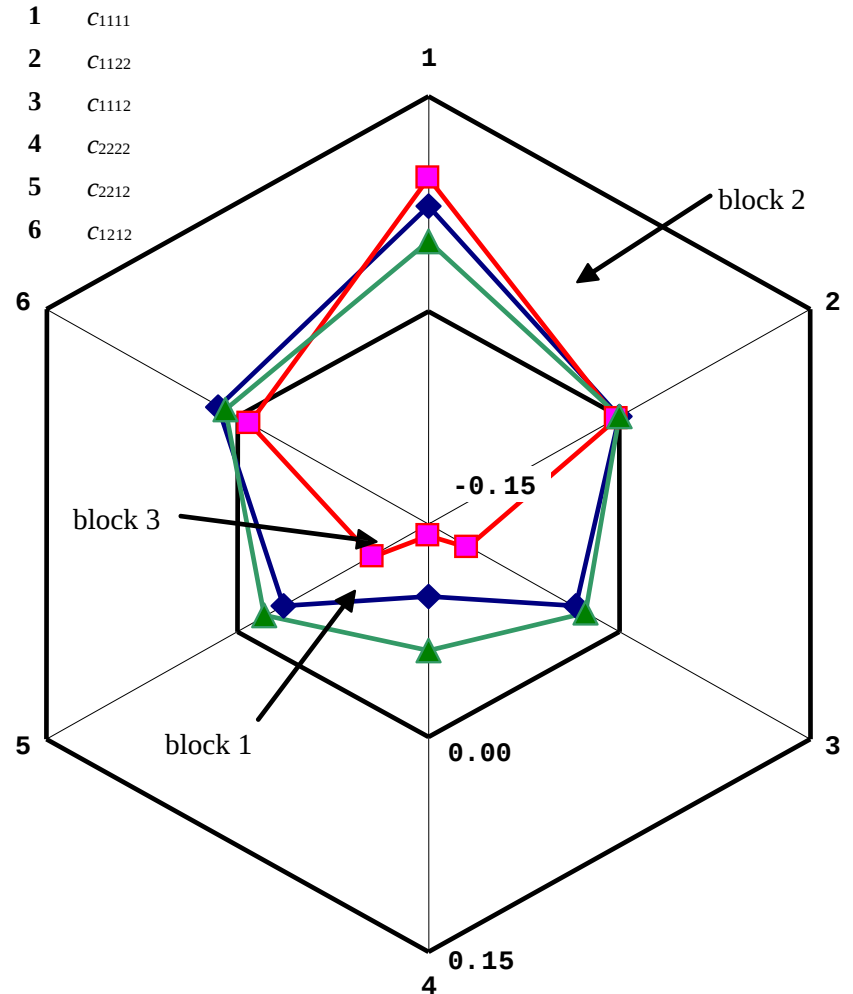
- apply several BC's, and measure displacement at nodes of a hexagonal block.
1. identify displacement modes (a characteristic set of nodal displacement)
 2. identify local elasticity

IDENTIFICATION OF DISPLACEMENT MODE

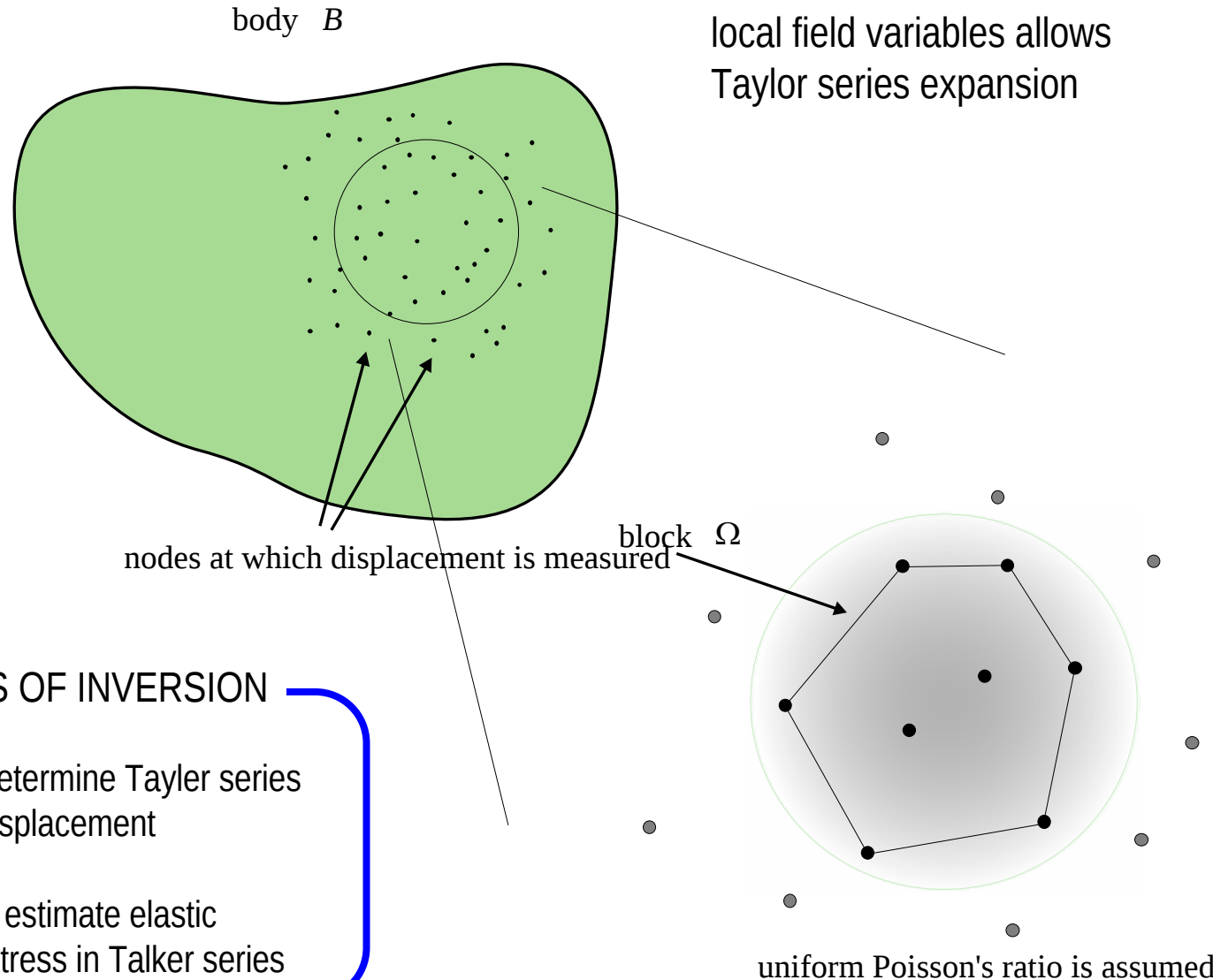
displacement mode



elastic parameters



ELASTICITY INVERSION METHOD



BASIC PROCEDURES OF INVERSION

1. use displacement data to determine Taylor series expansion coefficients of displacement
2. use equilibrium equation to estimate elastic parameters by expanding stress in Taylor series

DETERMINATION OF DISPLACEMENT COEFFICIENT

1. Taylor Expansion: $\{a_{ip}\}$

$$u_i(\mathbf{x}) = \sum_{p=1}^P a_{ip} f_p(\mathbf{x}) \quad \begin{aligned} \{a_{ip}\} &= \{u_i, u_{i,1}, u_{i,2}, \frac{1}{2}u_{i,2}, u_{i,11}, \frac{1}{2}u_{i,12}, u_{i,22}, \dots\} \\ \{f_p\} &= \{1, x_1, x_2, x_1^2, x_1x_2, x_2^2, \dots\} \end{aligned}$$

2. Displacement Data: $\{\bar{u}_i^n\}$

$$\bar{u}_i^n = \sum_{p=1}^P f_{pn} a_{ip}$$

3. Solution of Matrix Equation $f_{pn} = f_p(\mathbf{x}^n)$

$$a_{ip} = \underbrace{\sum_{\alpha=1}^A \frac{1}{\lambda^\alpha} \left(\sum_n u_i^n \varphi_n^\alpha \right) \psi_p^\alpha}_{\text{fully determined}} + \underbrace{\sum_{\beta=1}^{P-A} b_i^\beta \psi_p^\beta}_{\text{undetermined}} \quad \{\lambda^\alpha, \varphi_n^\alpha, \psi_p^\alpha\} : \text{SVD of } f_{pn}$$

ESTIMATION OF POISSON RATIO ν

1. Elasticity Tensor Expressed in Terms of Poisson Ratio

$$c_{ijkl} = c_{ijkl}^0 + \nu c_{ijkl}^1$$

2. Equation of Equilibrium and Its Taylor Expansion

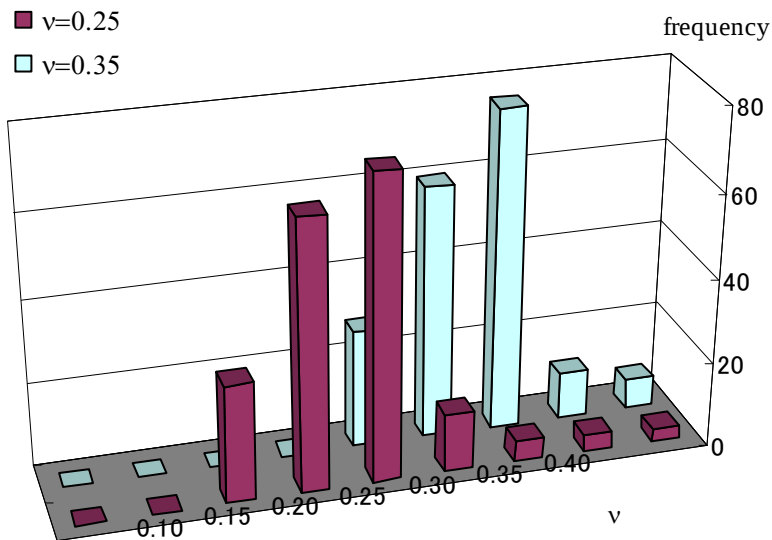
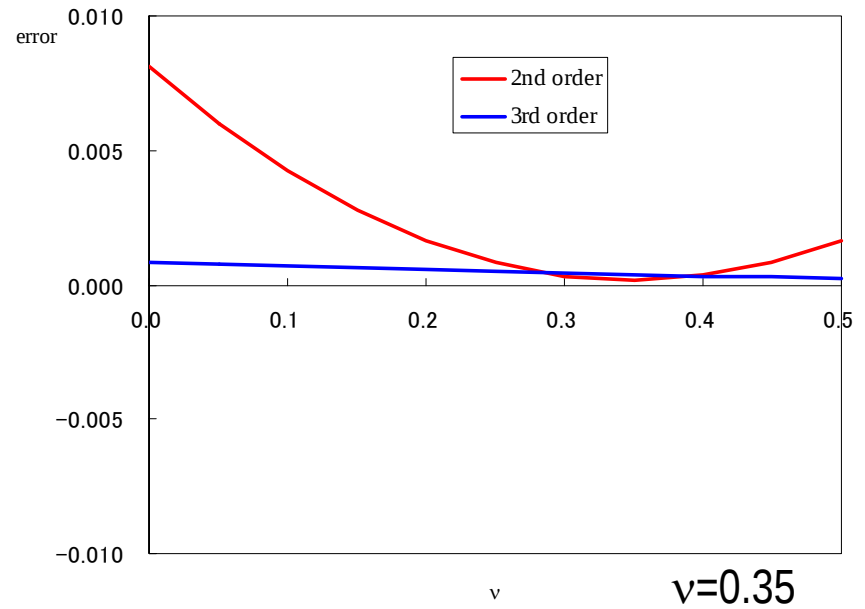
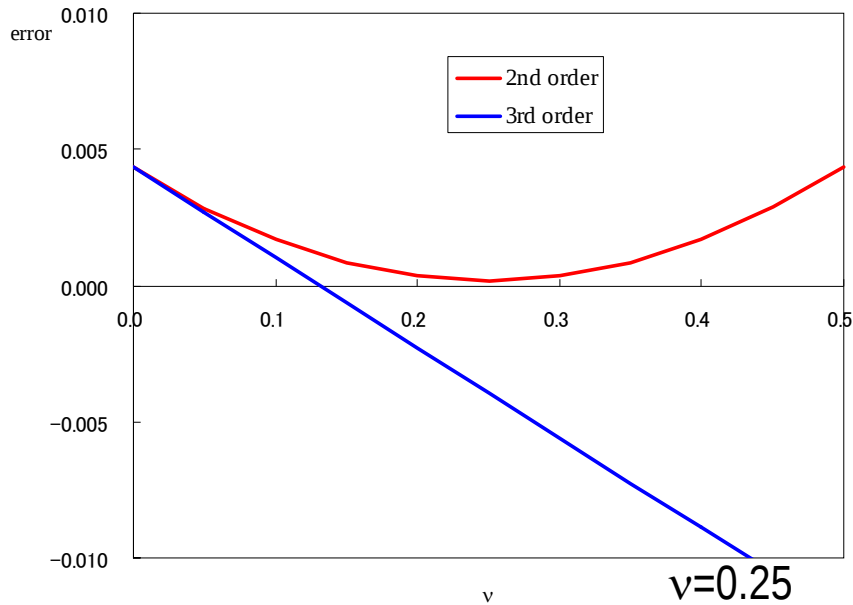
$$b_i(\mathbf{x}) = (c_{ijkl} u_{k,l}(\mathbf{x}))_{,j} = \sum_p b_{ip} f_p(\mathbf{x}) = 0$$

3. Coefficient of Expansion: $b_{ip}=0$ for 0th Order ($p=1$)

$$\begin{bmatrix} b_{11} \\ b_{21} \end{bmatrix} = \left(\begin{bmatrix} 2 & 0 & 0 & \frac{1}{2} & 1 & 0 \\ 0 & 1 & \frac{1}{2} & 0 & 0 & 2 \end{bmatrix} + \nu \begin{bmatrix} 0 & 0 & 0 & \frac{1}{2} & -1 & 0 \\ 0 & -1 & \frac{1}{2} & 0 & 0 & 0 \end{bmatrix} \right) \begin{bmatrix} a_{14} \\ a_{24} \\ \vdots \\ a_{26} \end{bmatrix}$$

linear equation of ν is derived

NUMERICAL SIMULATION (1)



measurement	200
expansion of displacement	3 rd order
expansion of equilibrium	0 th or 1 st order

NUMERICAL SIMULATION (2)

1. Measurement Error: $\{e_i^n\}$

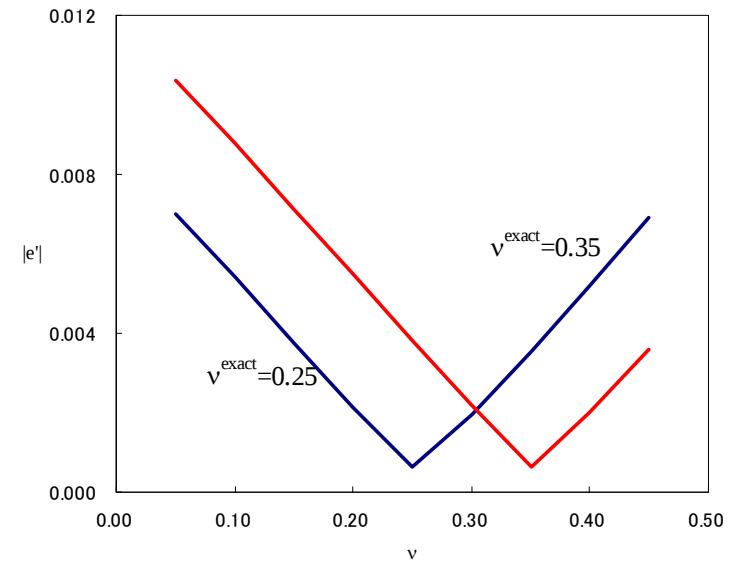
$$\bar{u}_i^n = \sum_{p=1}^P f_{pn} a_{ip} + e_i^n$$

2. Find v such that

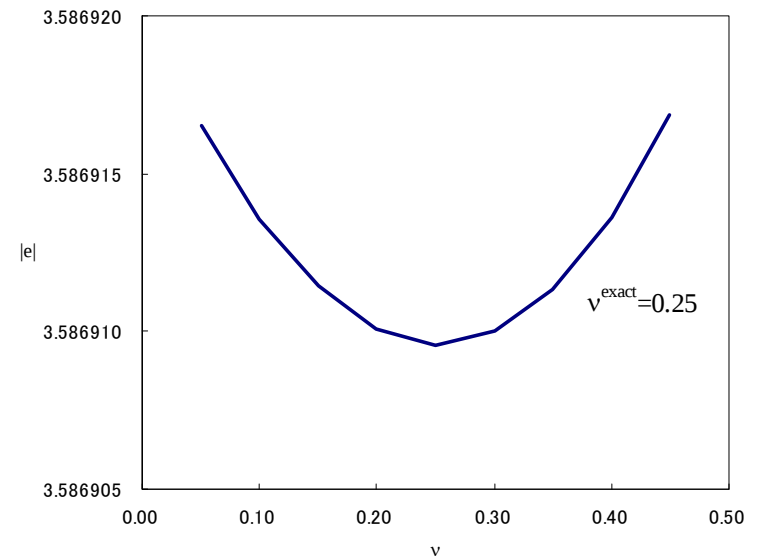
$$\begin{array}{ll} \text{minimize} & |e|^2 = \sum (e_i^n)^2 \\ \text{subjected to} & b_{ip}(v) = 0 \end{array}$$

measurement	200
expansion of displacement	3 rd order
expansion of equilibrium	0 th or 1 st order

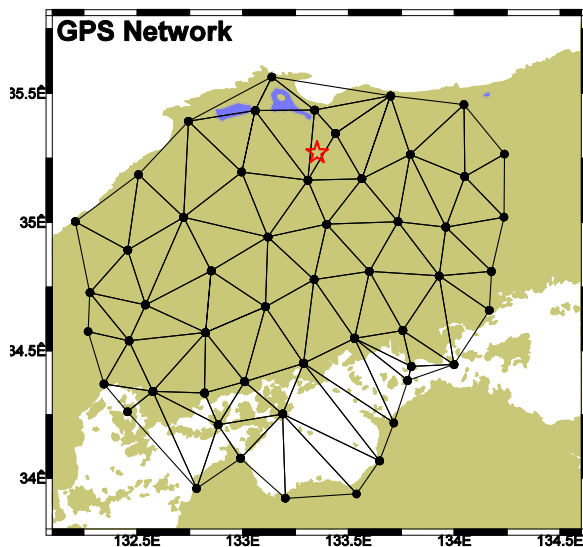
0th



1st



APPLICATION OF LOCAL GPS DATA

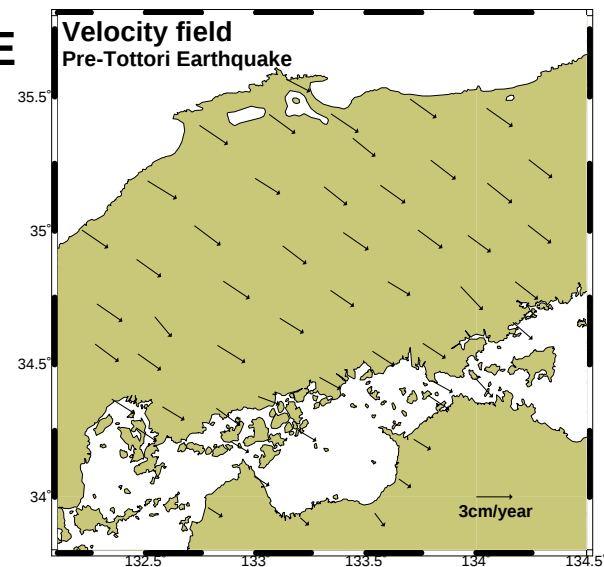


GPS array

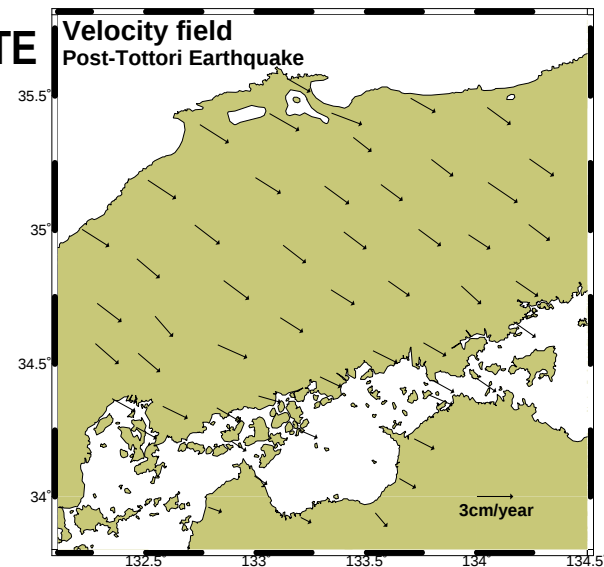
2000 Western Tottori Earthquake ($M_{JMA}=7.3$)
examine change in deformation and elasticity
before and after this earthquake

- ◆ 53 GPS observation points
- ◆ 82 triangular elements
- ◆ GPS data obtained from 1997 to 2002
- ◆ annual and biannual sinusoidal variations excluded

pre-WTE



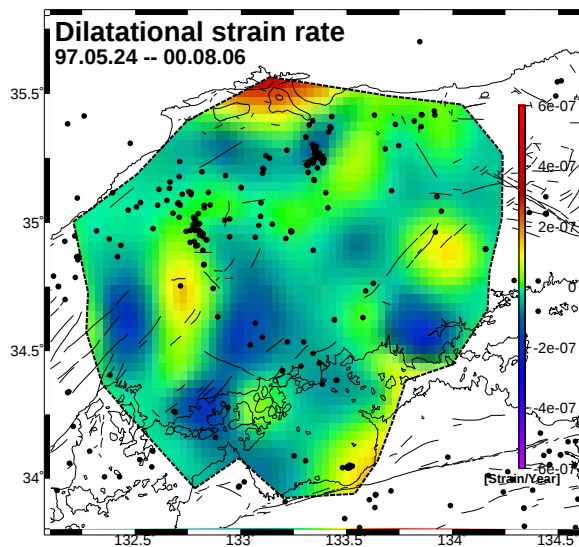
post-WTE



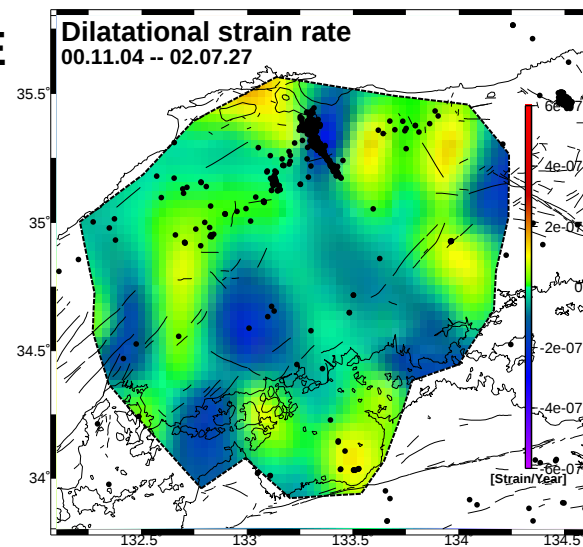
STRAIN RATE

pre-WTE

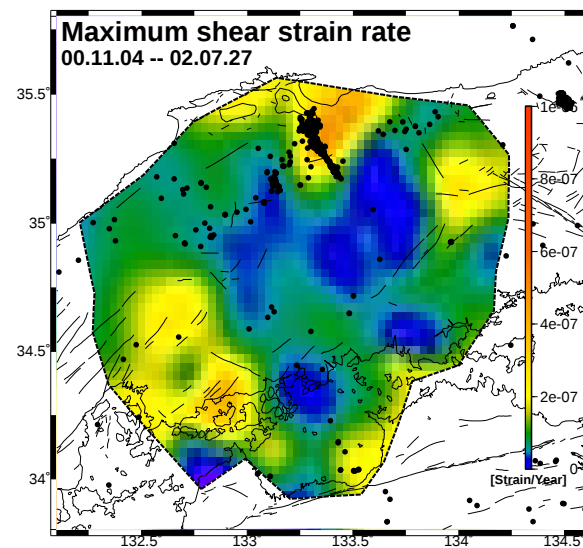
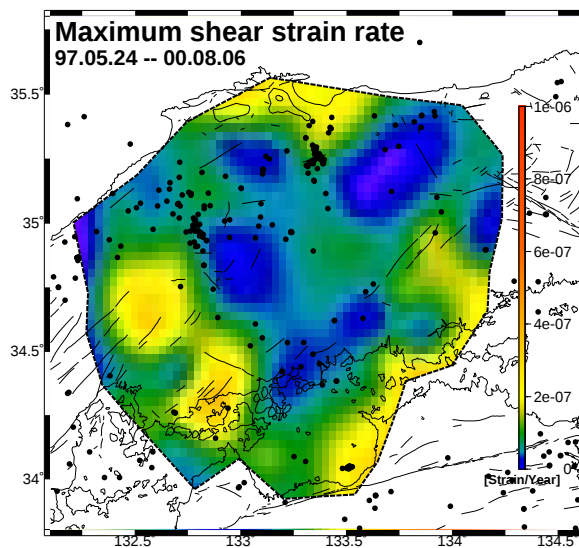
dilatational



post-WTE



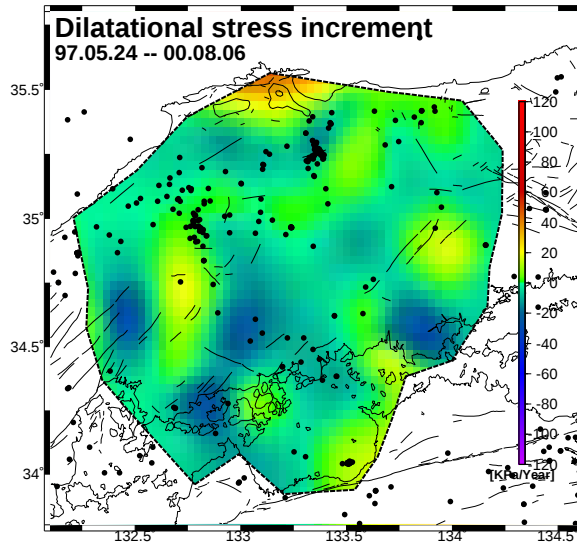
shear



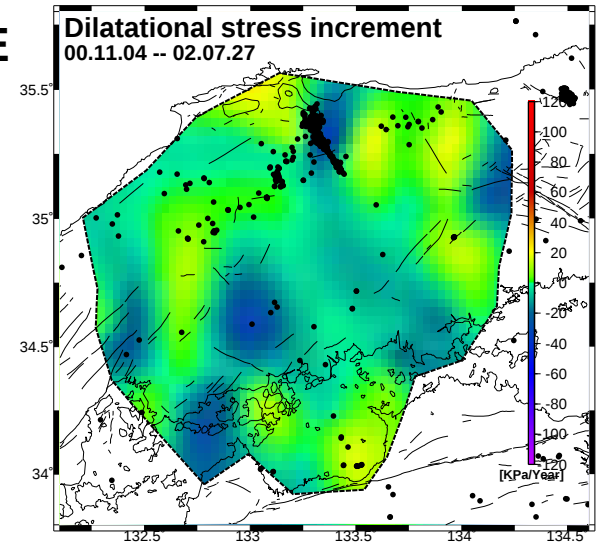
STRESS RATE

pre-WTE

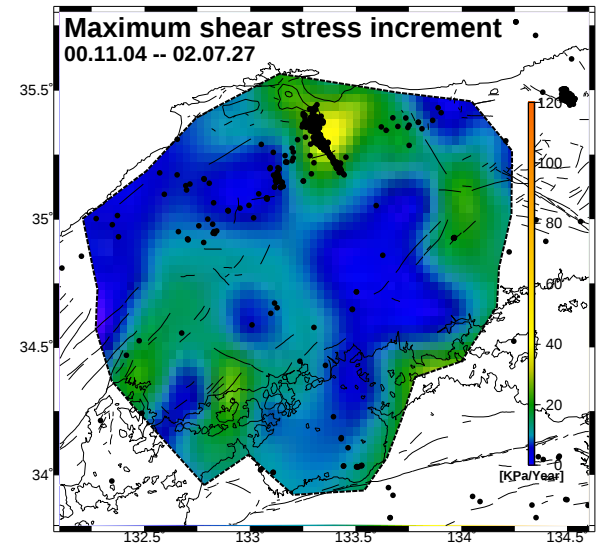
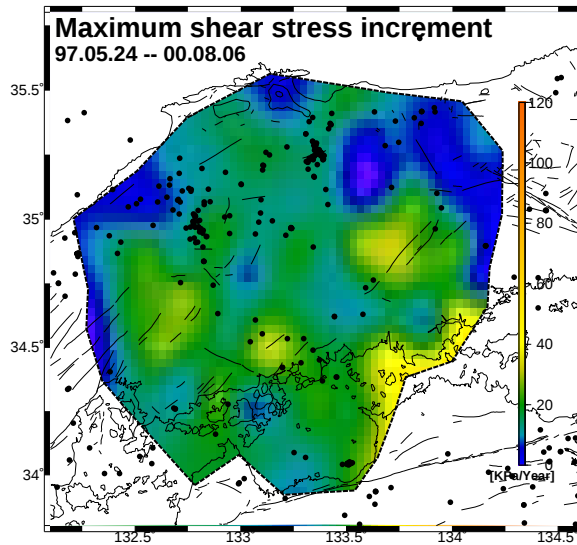
dilatational



post-WTE



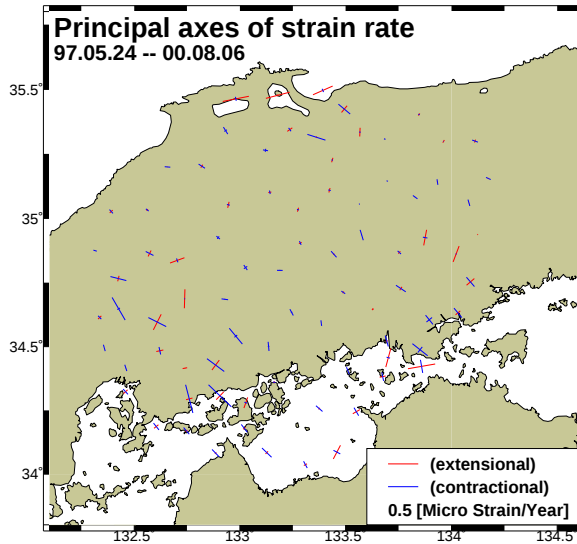
shear



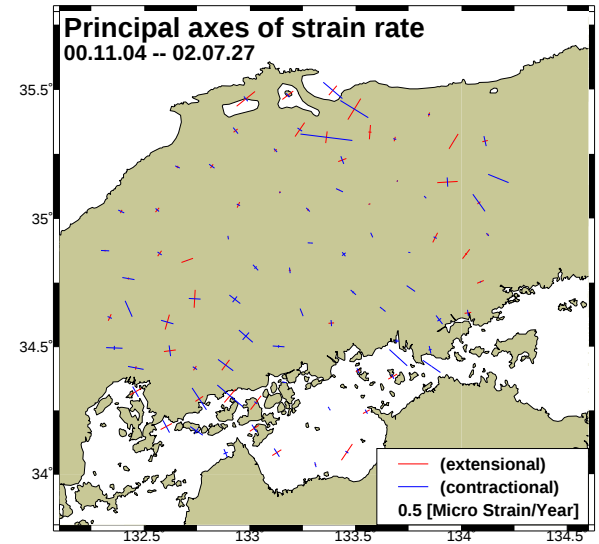
PRINCIPLE AXIS

pre-WTE

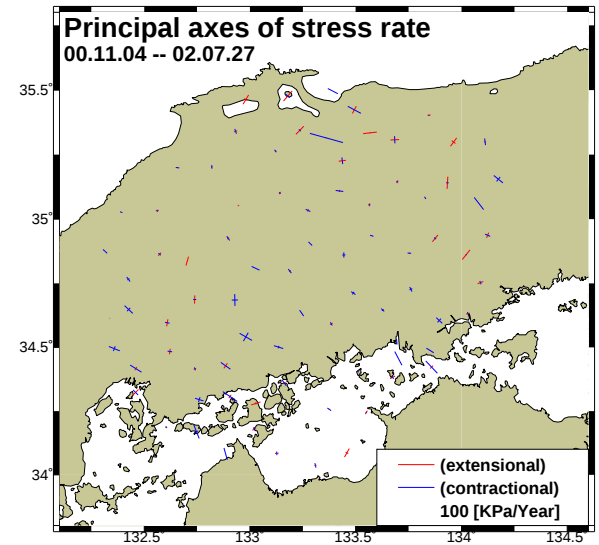
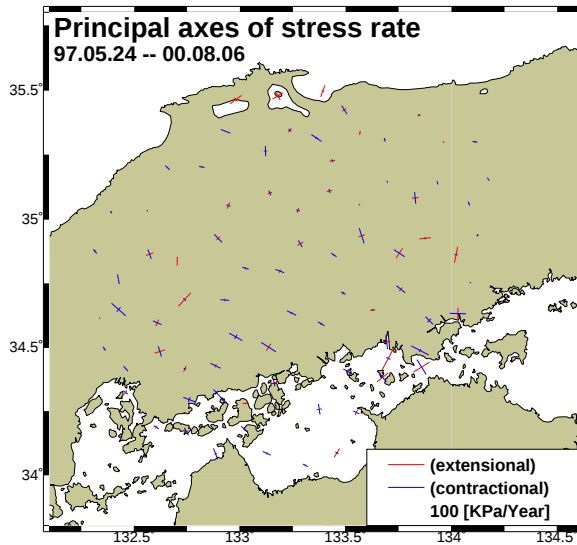
strain



post-WTE

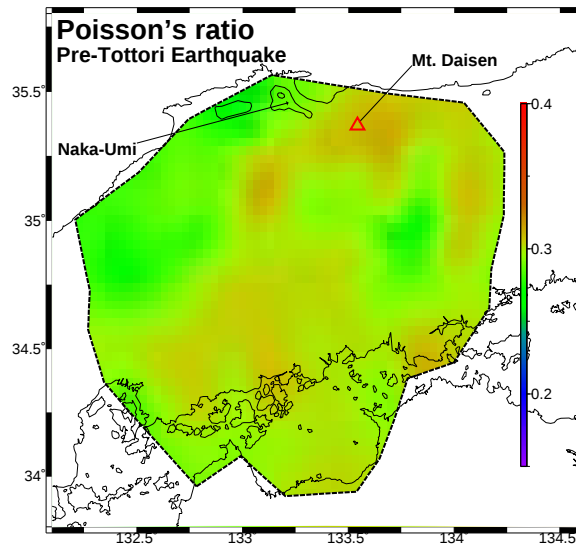


stress

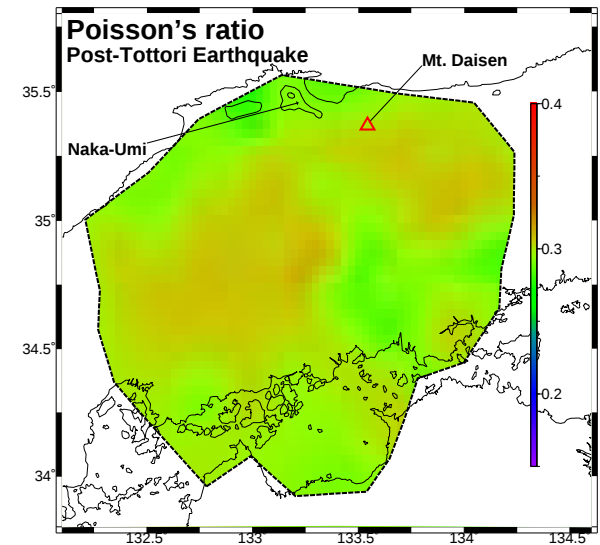


POISSON RATIO

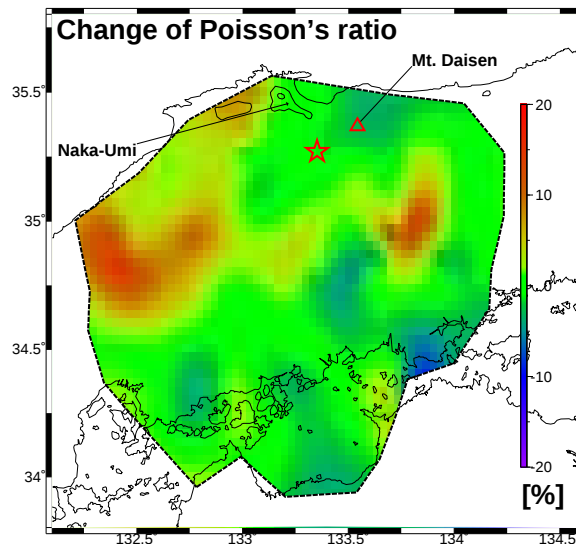
pre-WTE



post-WTE



difference



ν has been reduced near the source faults. The comparison with other analyses are being made.

CONCLUDING REMARKS

◆ Two inverse analysis methods

- stress inversion
find Airy's stress function by solving Poisson's equation
- elasticity inversion
find elastic parameters by estimating displacement expansion coefficients

◆ Development of new inversion is needed for geophysics where experiments cannot be made.

◆ Application

- small material samples used for bio-mechanics
- geomaterials
- new image analysis with higher spatial resolution